

Kumaun University Research Ordinance-2023

(Amendment dated 08th December, 2023)

A meeting on the Research Ordinance was held on 06th December 2023. The committee considered and adopted the *University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022*, as notified by the University Grant Commission vide Notification ADVT.-III/4/Exty./367/2022-23 as published in the Gazette of India dated 07th November 2022 under PART III—Section 4 in supersession/amendment of the Kumaun University Research Ordinance-2016.

Kumaun University, established in 1973, is comprised of two campuses at Nainital and Bhimtal. In the year 2015, Kumaun University was accredited as NAAC-Grade 'A' besides an ISO: 9001 certified university. The Kumaun University, Nainital is a member of the Association of Indian Universities. Kumaun University is comprised of the faculties of Arts, Science, Commerce, Management, Education, Law, Bio-Medical, Technology, Agriculture, and Fine Arts. Most of the teaching departments under these faculties have facilities for carrying out research in different fields of interest. With affiliations to 20 government colleges, 61 private institutions, and a government-aided college, the University supports around 1,50,000 students, making it Uttarakhand's largest University. The University Grants Commission issued the University Grants Commission (Minimum Standards and Procedures for Award of PhD Degree) Regulations, 2022, in supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil. /PhD Degree) Regulations, 2016, vide a Gazette notification dated 07th November 2022, and its compliance is mandatory for all universities and institutions awarding a PhD degree in India. Keeping this in view, our University has amended its earlier Research Ordinance by the UGC Regulations, 2022, which shall be known as 'Kumaun University Research Ordinance - 2023'. As provided in the Ordinance, the admissions shall be made directly and through the Entrance Test to be conducted by the University.

The Ordinance shall come into effect immediately after its approval by the competent statutory authority of the University. The Ph.D. of all the candidates who have been admitted in the University's Ph.D. programme through RDET -2023 and subsequent years will be governed by the **Kumaun University Research Ordinance – 2023** till further notification.

1. DOCTOR OF PHILOSOPHY (Ph.D.)

(A) Eligibility Criteria for Admission to Ph.D. Programme:

The following are eligible to seek admission to the Ph.D. programme:

- (i) A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed
- (ii) or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.
- (iii) provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.
- (iv) Candidates who have completed the Master of Philosophy (M.Phil.) programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme.
- (v) A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.
- (vi) A permanent teacher serving in Kumaun University or the affiliated college as a regular teaching faculty is eligible after serving a minimum period of one year.
- (vii) As per the recommendations of Academic Council, point 02, Dt.06.3.2013, those who have appeared in the PG Final Year/ Semester Exam. of Kumaun University or its affiliated colleges/ institutions may also apply provisionally for the Ph.D. Entrance Examination.
- (viii) A candidate shall ordinarily be permitted to work for the Ph.D. Degree in the subject in which they have obtained a Master's Degree, but also that research work leading to a Ph.D. Degree may be

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allowed in allied subjects in the same or any other Faculty on the recommendation of the concerned Board of Studies (BOS)/ Research Degree Committee (RDC). Allied subjects for the above-stated purpose need to be approved by the University's Academic Council.

(B) Procedure for Admission:

The University shall notify the number of Ph.D. positions available in the academic year. The University shall notify well in advance on the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in Hindi language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test shall be conducted and other relevant information for the benefit of the candidates.

The candidates shall be selected for admission to the Ph.D. programme:

1. Through a Research Degree Entrance Test (RDET) conducted by the University, having the component of written test and interview

- (i) The Entrance Test syllabus shall consist of 50% of research methodology, and 50% shall be subject-specific.
- (ii) The University will administer a written examination worth 100 marks, with a total duration of 2 hours. The exam will consist of 100 objective/multiple choice questions worth one mark, with no penalty for incorrect answers.
- (iii) Students who have secured 50 % marks in the entrance test can be called for the interview. However, the number of eligible students to be called for an interview is based on the number of Ph.D. seats available.
- (iv) A relaxation of 5 % marks will be allowed in the entrance test for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.
- (v) The final merit ranking of candidates who pass the written examination will be determined by a combination of their scores, with 70% based on the marks scored in the written entrance examination and 30% based on the performance in the interview.
- (vi) If two or more candidates in a subject have obtained the same marks as per the procedure mentioned above, the final merit will be decided first by their post-graduation scores in the relevant subject followed by marks in the entrance test, interview and date of birth. If merit has to be decided by date of birth, the senior will get the higher merit.
- (vii) The University will invite applications under this category through the admission portal, which will be open for a specific period.

2. Direct admission (without appearing in RDET), based on an interview

- (i) Candidates who have qualified for fellowship/scholarship in UGC-NET/UGC- CSIR-NET or NFSC or NFOBC or NFST or any equivalent fellowship, candidates who have been awarded the INSPIRE Fellowship, and candidates who have passed the Graduate Pharmacy Aptitude Test (GPAT) or GATE or an equivalent national test or M.Phil., may be considered for direct admission to the Ph.D. programme without having to appear for the Research Degree Entrance Test (RDET). However, such candidates must apply through the portal and pay the necessary fees for the interview/counselling.
 - (ii) However, the candidates whose fellowship certificate has expired at the time of application will not be considered eligible for exemption from the RDET.
 - (iii) A permanent teacher serving in Kumaun University or the affiliated college as a regular teaching faculty, fulfilling the eligibility criteria for a Ph.D., will also be exempted from the RDET.
 - (iv) The members of the Central Civil Services (CCS) (Group – A Category), who have completed their probation period and served their respective departments for at least five years would also be eligible to seek admission under the RDET exempted category on supernumerary seats.
 - (v) All those under the exempted category shall be admitted through interview only. No separate merit list will be made for such a category.
 - (vi) A duly constituted committee will conduct the interview.
 - (vii) The University will invite applications under this category through the admission portal throughout the year.
 - (viii) Candidates eligible for direct admission (without appearing in RDET) may also appear for the RDET. For this, the candidate needs to fill out the prescribed form of RDET and mention the score of UGC-NET/UGC-CSIR-NET/NFSC/ NFOBC/ NFST or any equivalent examination. For such candidates, the score that helps the candidates to get a higher position in the merit list will be finally considered.
3. Ph.D. seats will be allocated based on the State of Uttarakhand Reservation Policy, as applicable from time to time, based on intake in a given academic year. Only Uttarakhand candidates with domicile and category certificates will be eligible for reservation.

C. Research Supervisor:

Permanent faculty members working as Professor/Associate Professor of the Higher Educational Institution with a Ph.D., and at least five research publications in peer-reviewed or

refereed journals and permanent faculty members working as Assistant Professors in Higher Educational Institutions with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the University where the faculty member is employed or in its affiliated Post-graduate Colleges/institutes. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only be co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the University or its affiliated Post-graduate Colleges/institutes would violate these Regulations.

The faculty member on 'lien' at Kumaun University may be considered as supervisor, provided that a teacher from the same department must be appointed as co-supervisor. Also, in all such cases, the supervisor and co-supervisor must have at least six years of service before superannuation. In such cases, the roles of supervisors and co-supervisors will be interchanged if the faculty does not join the University immediately after completing the lien of three years. The faculty working on deputation in Kumaun University on administrative positions will not be considered as supervisors for fresh allotment of research scholars during the period of deputation.

For Ph.D. scholars working in Central government/ State government, and research institutions whose degrees are given by Kumaun University, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professors can be recognized as supervisors if they fulfil the above requirements.

Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Higher Educational Institution may relax the above condition to recognize a person as Research Supervisor with reasons recorded in writing. Co-supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.

Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.

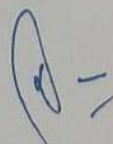
- (i) In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor/ Joint supervisor from outside the Department/School/Centre/College/University/ Industry and foreign Universities may be appointed only after having a legal Memorandum of Understanding (MoU) with the concerned department/ Centre/College/University/Industry.
- (ii) An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time. However, in the event of no vacant seat, each supervisor can accommodate one RDET Exempted candidate (UGC-

CSIR-JRF/GATE/INSPIRE Fellowship holders/Teacher) on a supernumerary basis over and above the permitted number of PhD scholars with special permission from RDC/Hon'ble Vice Chancellor. The extra seat for research scholars would be permissible only for faculty serving at university campuses with publications in high-impact journals (SCI, ESCI, Scopus, Web of Science) during the last five years.

- (iii) In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
- (iv) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
- (v) Relatives of the candidate for the Ph.D. degree shall not act as supervisors. Relations will include father, mother, husband, wife, son, daughter, brother, sister, uncle, nephew, father-in-law, mother-in-law or such other relations, as may be determined by the Executive Council.
- (vi) If unforeseen circumstances have necessitated a change of supervisor, the Research Degree Committee shall appoint a supervisor as a supernumerary allotment.

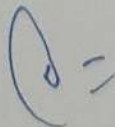
D. Pre-Ph.D. Course Work

- (i) The qualified candidates shall be admitted to Pre-Ph.D. Course Work through interview/ counselling at the centres designated by the University for the same.
- (ii) The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a "Research and Publication Ethics" course as notified by UGC vide D.O. No. F.1-1/2018(Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee can also recommend UGC-recognized online courses as part of the credit requirements for the Ph.D. programme. A Ph.D. student can also do pre-Ph.D. coursework at the institutes/universities having valid MoUs with Kumaun University. All



such candidates have to get prior permission for the same from their parent centre designated for course work, Kumaun University and the centre they intend to do the course work.

- (iii) All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.
- (iv) A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit their thesis.
- (v) The Ph.D. scholar will get only two attempts to pass the Pre-Ph.D. coursework exam, first in the year of admission and second in the successive year. Failing on both occasions will result in the termination of the Ph.D. programme.
- (vi) A permanent Teacher Candidate can avail of the Online Pre-Ph.D. course work of Research Methodology provided by Kumaun University after getting prior permission for the same from the University and their serving institutes. A provision of fee for the online course will be customized. These candidates can also earn the required credits from the online courses approved by the Research Advisory Committee of Kumaun University.
- (vii) The research scholars shall be required to attend course work lectures and seminars arranged during the Pre-Ph.D. coursework. The minimum percentage of lectures to be attended during the course work will be 75% of the lectures delivered in all papers individually.
- (viii) Candidates in employment (permanent or contractual or any other nature), except the regular faculty members of Kumaun University, who want to pursue a Ph.D. must avail leave for the minimum duration of course work and must take prior permission to pursue PhD programme from the Head of their parent Institution. A "No Objection Certificate" to this effect in all such cases must be taken at the Research Centre at the time of admission.
- (ix) However, regular faculty members of Kumaun University who have completed a minimum of one year of service with the University and want to pursue a Ph.D. with the University for the first time, are exempted from availing of leave for the minimum duration of coursework but all such candidates must take prior permission to pursue PhD programme and get a "No Objection Certificate" to this effect before their admission from the competent authority.



E. Research Degree Committee (RDC)

- (i) After successfully clearing the pre-Ph.D. course work, the research scholar shall be eligible to submit a synopsis of the proposed research work prepared in consultation with the supervisor and co-supervisor (if any).
- (ii) The scholar will be interviewed by the RDC of the concerned subject. The interview/viva voce shall consider whether:
 - (a) the candidate possesses the competence for the proposed research;
 - (b) the research work can be suitably undertaken at the university/College;
 - (c) the proposed area of research can contribute to new/additional knowledge.
- (iii) Except for the language subjects, the proposed work's synopsis must be written in Hindi or English. The medium of synopsis of the language subjects (e.g., Hindi, English, Sanskrit, etc.) shall be the language of the chosen subject.

F. Departmental Research Advisory Committee:

- (i) There shall be a Research Advisory Committee or an equivalent body as defined in the Statutes/Ordinances of the Higher Educational Institution concerned for each Ph.D. scholar. The Research Supervisor of the Ph.D. scholar concerned shall be the Convener of this committee, and this committee shall have the following responsibilities:
 - (a) To review the research proposal and finalize the topic of research.
 - (b) To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
 - (c) To periodically review and assist in the progress of the research work of the Ph.D. scholar in every six months.
- (ii) In every six months, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the Higher Educational Institution concerned. A copy of such recommendations shall also be provided to the Ph.D. scholar.
- (iii) In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar

fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

G. Duration of the Programme:

- (i) (i) The Ph.D. programme shall be for a minimum duration of three years, including coursework and a maximum of six years. The date of admission to the PhD programme will be taken as the date of registration for the PhD.
- (ii) After the expiry of 6 years from the date of registration the candidate may be granted a one-year extension by the Vice-Chancellor provided that the candidate has applied for an extension within 3 months before the expiry of the six years. Unless the extension is granted by the Vice-Chancellor, the name of the candidate shall be removed from the list of those registered for the Ph.D. Degree, after expiry of six-year period.
- (iii) The candidate may apply for re-registration within a period of three months from the date on which the extended period expires and, thereafter, he shall be re-enrolled after paying a fresh fee as prescribed and he shall be given a further maximum period of one year from the date of re-registration for the submission of his/ her thesis. The submission of thesis and the payment of fees, must be made within the extended period. Thesis shall not be accepted after due date.
- (iv) The women candidates and persons with disability (more than 40% disability) shall be allowed a relaxation of maximum two years for Ph.D. The women candidates shall be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

H. Pre-Submission Seminar and Thesis Submission

- (i) Upon acceptance of Ph.D. synopsis by RDC, the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis.
- (ii) Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before the departmental Research Advisory Committee, for Pre-submission seminar, which shall also be open to all faculty members and other research scholars/students. The feedback and comments obtained from may be suitably incorporated into the draft thesis in consultation with the Departmental Research Advisory Committee. The thesis to be submitted must be approved for submission by the Departmental

Research Advisory Committee after the pre-submission seminar is over. Such an approval must include the university HOD/Convener of the RAC as chairman. The thesis must be forwarded to the University by the convener of the RAC.

- (iii) The Ph.D. scholar shall get the final thesis checked by the software provided by the University to detect Plagiarism in research work. The thesis can be accepted for further processing only with a report stating the similarity index with in UGC norms.
- (iv) The language of the thesis will be the language in which the Research Degree Committee of the concerned subject accepted the synopsis.
- (v) In case some research scholar wants to change the language of his/her accepted synopsis and thesis, it can be done through the supervisor by obtaining the consent of the respective Research Degree Committee.
- (vi) The Ph.D. scholar shall publish at least two research papers in SCI/SCIE/Scopus/UGC CARE listed and make one paper presentation in conferences/seminars before the submission of the thesis for evaluation and produce evidence in the form of certificates and reprints.
- (vii) Ph.D. candidate must submit thesis within 60 days of Pre-submission Seminar. The candidate may be permitted to submit a thesis after 60 days in specific circumstances with the permission of the Hon'ble Vice-Chancellor.
- (viii) The following documents shall be submitted by the candidate to the office of Director, Research and Development Cell, Kumaun University Nainital at the time of submission of thesis:

1. Thesis: 03 copies
2. Summary: 04 copies
3. Synopsis: 03 copies
4. No-dues Certificate (Fee. Receipt, Departmental, Campus and Central Library)
5. CD of the Thesis (PDF File)
6. Pre-submission Certificate.
7. Fee deposition receipt

- (ix) The candidate shall submit three printed or type written (typed both sides of the paper) but not published copies of his/her thesis. The published matter may also be incorporated as a part of the thesis. The medium of expression for the thesis shall be either English or Hindi (written in Devanagari script) except in the

case of subjects connected with any of the oriental languages, where the thesis may, at the option of the candidate, be presented in that language. The thesis shall be accompanied by a certificate from the supervisor stating:

1. that the thesis embodies the work of the candidate himself/herself.
2. that (unless he/she is a teacher in the University/an affiliated college) he/she has put in the required attendance in his/her research centre during that period.

(x) The thesis shall comply with the following conditions:

1. It must be a piece of research work characterized either by the discovery of facts or by a fresh approach towards the interpretation of facts or theories. In either case, it should evince the candidate's capacity for critical examination and sound judgment. The candidate shall communicate how far the thesis embodies the result of his/her advanced knowledge in the subject.
2. It shall be satisfactory concerning language and presentation of subject matter. The examiners will also indicate whether the thesis is suitable for publication in its present form with or without amendments.

(xi) A Ph.D. scholar shall submit the thesis for evaluation, along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.

I. Evaluation of the Thesis:

- (i) Examiners for the thesis shall be appointed only after pre-submission seminar/ submission of the thesis. The Convener of the Board of Studies and the concerned supervisor shall be requested to suggest panels consisting of six names of examiners each for consideration of the Vice-Chancellor (10 names, in case of Convener being the supervisor). The thesis shall be sent to two examiners selected for the purpose by the Vice-Chancellor out of a panel suggested by the convener and supervisor.
- (ii) The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least two external examiners who are experts in the field and not in employment of the Higher Educational Institution concerned. Such examiner(s) should be academics with a good record of scholarly publications in

the field. Wherever possible, one of the external examiners should be chosen from outside India.

- (iii) The Ph.D. examiner shall be required to submit his/her report normally in two months, however, some more time may be permitted with the permission of the Vice-Chancellor. Thereafter, a fresh examiner may be appointed.
- (iv) If the examiner/s recommends that the candidate be asked to improve his/her thesis, the Executive Council may permit the candidate to re-submit his/her thesis, not earlier than six months and not later than one year, and under very special circumstances not later than a year and a half, the period being counted from the date of the communication of the decision of the Executive Council granting the permission. In case the candidate is allowed to re-submit his/her thesis, he/she shall have to pay a fresh fee of Rs. 2500/- or any other fee prescribed at the time of the re-submission of the thesis but it shall not be necessary for him/her to produce any certificate of further attendance at the institution at which he/she carried on his/her work.
- (v) If one of the external examiners recommends rejection, the University shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.

J. Viva-Voce Examination:

- (i) In case, both the original examiners approve the thesis (and in the event of divergence of opinion between the two, the third examiner approves the thesis), the candidate shall be called upon to appear for a *viva-voce* test before a board of two examiners comprising the supervisor and one of the two external examiners (approved by the Vice-Chancellor) and may be conducted online.
- (ii) The Head of the University Department/ convener of RDC of the University shall be the Chairman of the viva-voce examination of a candidate.
- (iii) The viva-voce exam of a candidate shall be held at the University Headquarters (unless specially allowed by the Vice-Chancellor). The viva



voce shall be open to the members of the Research Advisory Committee/faculty members/research scholars, and students.

- (iv) Not satisfied with the viva-voce examination, the candidate shall be asked to reappear (after paying a fee of Rs. 2000/-) at a second viva-voce examination within one year but not earlier than six months. If the candidate fails to satisfy the viva-voce examiners the second time, his/her thesis shall be finally rejected.
- (v) Following the successful completion of the evaluation process and before the announcement of the award of Ph.D. the University shall submit an electronic copy of the Ph.D. thesis to the UGC for hosting the same in INFLIBNET to make it accessible to all Institutions/Colleges.
- (vi) Before the actual award of the degree, the University shall issue a Provisional Certificate certifying to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2022.
- (vii) The University shall complete the entire process of evaluating a Ph. D. thesis, including the declaration of the viva-voce result, within a period of six (6) months from the date of submission of the thesis.
- (viii) The University shall have the right to withdraw or cancel the already awarded Degree, if it is found at any date that there is no originality or genuineness in the thesis concerned or if there is any other severe matter according to which it is established that the degree should not have been awarded to the candidate. The supervisor of such a candidate shall also be held responsible for such work.
- (ix) Other terms and conditions shall be decided by the office of the Research and Development Cell (RDC) with the permission of the Vice-Chancellor. Ordinance and changes, therein, if any, shall be admissible only when passed by the Academic Council of the University.
- (x) The University shall maintain a list of all the Ph.D. students on its website on a year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of registration.

K. Academic, Administrative and Infrastructural Requirement to be Fulfilled by Affiliated Colleges for getting Recognition for offering Ph.D. Programmes:

(i) Post-graduate Colleges offering 4-year Undergraduate Programmes and/or Post-graduate Programmes, may offer Ph.D. programmes, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per these Regulations.

(ii) Post-graduate Departments of affiliated Colleges, Research Laboratories of Government of India/State Government with at least two Ph.D. qualified teachers/scientists/other academic staff in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per this Ordinance shall only be granted recognition to operate Ph.D. Programme by the University. The University shall grant recognition to the affiliated Colleges to offer Ph.D. Programme, who have the following facilities:

(a) In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the University with provision for adequate space per research scholar along with computer facilities and essential software and uninterrupted power and water supply;

(b) Earmarked library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/library for reading, writing and storing study and research materials;

(c) Colleges may also access the required facilities of the University/neighbouring Institutions/colleges or of those Institutions/Colleges/R&D Laboratories/Organisations which have the required facilities.

(iii) All the Colleges affiliated with the Kumaun University shall ensure that they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per the Research Ordinance of the Kumaun University.

L. Award of Ph.D. Degrees before Notification of these Regulations, or Degrees awarded by Foreign Universities:

- (i) Award of Degrees to candidates registered to Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Awards of Ph.D. Degree) Regulations, 2016.
- (ii) If the Ph.D. Degree is awarded by a Foreign University, the Kumaun University while considering such a degree shall refer the issue to a Standing Committee constituted by the University to determine the equivalence of the degree awarded by the Foreign University.

M. Grant of M.Phil. Degree. Kumaun University shall not offer the M.Phil. (Master of Philosophy) programme.



2.DOCTOR OF LETTERS/ LITERATURE (D.Litt.)/ DOCTOR OF SCIENCE (D.Sc.):

- (a) A Candidate for the D.Litt. / D.Sc. Degree must be either a Doctor of Philosophy of this University with at least two years' standing, or a Doctor of Philosophy of at least two years standing of another University recognised by the Kumaun University.
- (b) The candidate shall be required to join a recognized research centre of the university for at least 200 days. During this period, he/she will have to engage himself/herself in academic work (including delivering lectures etc. to students). The candidate shall remain under the administrative and academic control of the Head of the Department and Dean/ Principal concerned.
- (c) A Candidate for the D.Litt. or D.Sc. Degree must apply to the University on a prescribed form along with 10 copies of the synopsis and copies of the documents concerning :-
- (i) his/her qualifications and experience,
 - (ii) the subject on which he/she proposes to work, and
 - (iii) the synopsis stating purpose of study indicating the original contribution to knowledge which the thesis proposes to make and which will bring to light material not yet known or used by scholars, or a fresh original interpretation of already known facts.
 - (iv) The application shall be supported by two senior members* of the concerned department of the University or two subject professors of any University, who shall testify that the candidate is a proper person to supplicate for the degree.
- (*) Senior means the concerned teacher must have at least 10 years of postgraduate teaching experience

The application shall be accompanied by the prescribed fee. If the application is entertained, the balance fee prescribed shall be paid at the time of the submission of the thesis.

- (d) The application shall be placed before the Research Degree Committee of the subject concerned and the candidate shall attend the pre-registration interview. If the application

is approved by the Academic Council, the candidate may submit his/her thesis at any time not earlier than two years and not later than 5 years (including extended period) from the date on which he/ she was permitted to work for the degree (time will be counted from the date of registration). In case the candidate does not submit his/her D.Litt. or D.Sc. thesis within 4 calendar years from the date of registration, the permission granted to him/her shall lapse, unless the time is extended by the Vice-Chancellor, (which will not exceed one year) and thereafter the name of the candidate shall be removed from the registered list.

- (e) A Pre-submission seminar shall be held in the University Department of the subject with Convener as Chairperson. The candidate who is ready to submit his/her D.Litt./D.Sc. thesis shall present and defend his/her thesis work. This pre-submission seminar shall be open to all the faculty members and research scholars of the concerned department and the faculty. The thesis to be submitted must be approved, after the seminar, by at least a 2/3rd majority of the teaching staff of the concerned department. This approval must include the approval of the HOD/ Convener of RDC concerned and the thesis must be forwarded by the convener of the R.D.C.
- (f) At least three research publications out of the work being carried for the degree, in refereed journals of the subject, which in the opinion of the HOD/Convener are standard journals, are necessary before the pre - submission seminar (stated above) is held.
- (g) The Convener of the Board of Studies/ RDC shall be requested to suggest a panel of 10 names for the consideration of the Vice-Chancellor. Three Examiners for the thesis (two from out of state) shall be appointed from a panel of experts given by the Convener.
- (h) A Candidate shall not be allowed to submit as a part of his/her thesis any paper or papers based on which a degree has already been conferred on him/her by us or anyother university, but he/she shall not be precluded, from incorporating a work which has already been submitted by him/her for a degree in the thesis covering a wider field, providedthat he/she shall indicate the extent of the work so incorporated. The thesis submitted must be satisfactory as regards its literary form and, if not already published, must be in a form suitable for publication. The medium of expression for every thesis shall be English or Hindi (written in Devanagari script) except in the case of subject connected with any of the languages where the thesis may, at the option of the candidate, be presented in that language.
- (i) After the thesis is completed, the candidate shall submit four printed or typewritten

copies of his/her thesis, together with the fee. The candidate shall indicate how far his/her thesis embodies the result of his/her research and in what respects his/her investigation appears to him/her to advance the bounds of knowledge. He/she shall also state what authorities/references or other sources he/she has utilized in preparing his/her thesis and shall submit in support of his/her candidature, any paper or papers which he/she may have published independently or jointly. The thesis must be a piece of original research work characterized either by the discovery of facts or by a fresh approach towards the interpretation of facts or theories. In either case, it should evince the candidate's capacity for critical examination and sound judgment.

- (j) On its receipt, the thesis shall be sent to three persons selected by the Vice-Chancellor out of the panel of 10 persons suggested by the Convener of RDC. If two of the three examiners do not approve the thesis, it shall be rejected, but if only two examiners approve it, the thesis shall be sent for evaluation to the fourth examiner from the panel and his/her opinion shall be final.
- (k) In case all the three original examiners approve the thesis or, in the event of divergence of opinion between the original three, the fourth examiner approves it, the candidate shall be called upon to appear for a *viva-voce* test before a Board of two external examiners who approved his/her thesis. If both the *viva-voce* examiners are satisfied, the case shall be placed before the Executive Council. If the Council, after considering the report of the examiners, considers the candidate worthy of the D.Litt. or D.Sc. Degree (as the case may be) it shall approve the degree.
- (l) In case the recommendation of *viva-voce* examiners differs from those of the thesis examiners, or there is a difference of opinion between the *viva-voce* examiners, the candidate may be asked to re-appear after paying a fee at a second *viva-voce* examination within one year but not earlier than six months from the date of the first *viva-voce*. If the candidate fails to satisfy the *viva-voce* examiners the second time, his/her thesis shall be finally rejected.
- (m) If the examiners recommend that the candidate be asked to improve his/her thesis, the Executive Council may permit the candidate to re-submit his/her thesis not earlier than six months and not later than one year, after the date of the resolution of the Executive Council granting the permission. In case a candidate is allowed to re-submit his/her thesis, he/she shall have to pay a fresh fee of Rs. 5000/- or any prescribed fee at the time of submitting his/her thesis.

- (n) The Head of the Department / Convener of RDC shall be the Chairperson of the *viva-voce* exam of a candidate. Both the *viva-voce* exam and the pre-submission seminar of a candidate both shall be held under the control of the Head of the Department, but the examiners' board of the *viva-voce* exam shall remain as prescribed in these ordinances.
- (o) Regarding attendance, cancellation of admission or registration, cancellation of the already awarded degree and research centre, the relevant clauses of the Ph.D. Ordinances (with the supervisor replaced by the / Convener HOD) shall apply here too.

3. Special Instructions:

- (i) The contents of the thesis submitted in CD to the library shall be as a single PDF file and shall not be different from what has been presented in the thesis.
- (ii) The thesis may be written in Hindi or English unless it relates to any other language (typed/ printed **on both sides of the paper**).
- (iii) Additionally, the file should contain the following information:
- (a) Abstract / Summary of the thesis (200-300 words)
 - (b) Key words (up to 9)
 - (c) Author's name and address
 - (d) Supervisor's name
 - (e) Project's name under which work was carried out, if any
 - (f) Funding/ Fellowship granting agency's name.
 - (g) Pen drive of the thesis (PDF File) deposited at the office of Director, Research and Development Cell, Kumaun University Nainital (caoresearchku@gmail.com).



कुमाऊँ विश्वविद्यालय, नैनीताल-263001 (उत्तराखण्ड)

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पत्रांक केयू/आर0डी0सी0 / 2023 / 271

दिनांक 10-4-2023

सेवा में,

- 1- परिसर निदेशक, डी0एस0बी0 परिसर, नैनीताल/जे0सी0 बोस परिसर, भीमताल, नैनीताल।
- 2- संकायाध्यक्ष, कला, विज्ञान/वाणिज्य/प्रबन्धन/भेषज/कृषि/बायो साइंस संकाय, डी0एस0बी0 परिसर, नैनीताल/जे0सी0 बोस परिसर, भीमताल, नैनीताल।
- 3 समस्त विभागाध्यक्ष, डी0एस0बी0 परिसर, नैनीताल/जे0सी0 बोस परिसर, भीमताल, नैनीताल।
- 4- प्राचार्य, (संबद्ध कुमाऊँ विश्वविद्यालय, नैनीताल)

राजकीय स्नात0 महाविद्यालय

विषय : विश्वविद्यालय के आर0डी0सी0 अनुभाग में शोध सम्बन्धी लिये गये (शोध सलाहकार समित एवं कार्य परिषद अनुमोदित) निर्णय।

महोदय,

विश्वविद्यालय के आर0डी0सी0 अनुभाग में शोध सम्बन्धी लिये गये (शोध सलाहकार समित एवं कार्य परिषद दिनांक 10.02.2023) में लिये गये निम्नलिखित निर्णय को आर0डी0सी0 अनुभाग, कुमाऊँ विश्वविद्यालय, नैनीताल द्वारा लागू किया जा रहा है।

क्रम सं0	मद	आर0डी0सी0 अनुभाग के अनुमोदित प्रस्ताव
1	मद सं0 3	प्री0 पी0 एच-डी0 कोर्स वर्क की परीक्षा हेतु प्रत्येक प्रश्न पत्र (1,2,3) में शोधार्थियों की पृथक-पृथक 75 प्रतिशत उपस्थिति अनिवार्य होगी
2	मद सं0 4	प्री0 पी0 एच-डी0 कोर्स वर्क की परीक्षा में रसायन विज्ञान विभाग द्वारा द्वितीय के संदर्भ में निर्णय लिया गया कि Recent Advance in Subject रहेगा तथा विकल्प उपलब्ध नहीं रहेंगे।
3	मद सं0 5	वर्ष 2022 से शोध कार्य हेतु 62 वर्ष की आयु पार कर चुके प्राध्यापकों को शोधार्थी आवंटित नहीं किये जायेंगे। यू0जीसी0 के नियम 7 नवम्बर, 2022 के तहत इसे लागू किया जा रहा है। इसका अनुपालन का दायित्व सम्बन्धित विभागाध्यक्ष एवं संयोजक का होगा तथा इसका निगरानी शोध एवं विकास प्रकोष्ठ द्वारा की जायेगी।
4	मद सं0 6	पी0 एच-डी0 की मौखिकी परीक्षा विश्वविद्यालय अनुदान आयोग के आदर्शों (04 मई, 2022) के अनुपालन में ऑनलाईन/आफ लाईन ही सम्पादित की जायेगी। डी0लिट0 एवं डीएस0 सी0 मौखिकी ऑफ लाईन सम्पादित की जायेगी।

5	मद सं0 15	शोधार्थी के शोध प्रबन्ध मूल्यांकन हेतु शोध निर्देशक तथा विभागाध्यक्ष द्वारा 01 माह के भीतर पेनल प्रस्तुत नहीं किये जाने की दशा में मा0 कुलपति जी द्वारा परीक्षक नियुक्त किये जायेंगे पेनल न देने की स्थिति में शोध निर्देशक एवं विभागाध्यक्ष को अगले सत्र में नये शोध अभ्यर्थी का आवंटन नहीं किया जायेगा। साथ ही बाह्य परीक्षक द्वारा मूल्यांकन आख्या समय सीमा पर न दिये जाने की स्थिति में उसे विश्वविद्यालय द्वारा प्रतिबंधित किया जायेगा।
6	मद सं0 16	एस0 एस0 जे0 परिसर, अल्मोड़ा विश्वविद्यालय के गठन के पश्चात् कुमाऊँ विश्वविद्यालय में पंजीकृत शोधार्थी के शोध निर्देशक जो कि एस0 एस0 जे0 विश्वविद्यालय, अल्मोड़ा के अधिकारिता क्षेत्र में स्थित परिसर/ महाविद्यालयों/संस्थानों में कार्यरत हैं उनके शोधार्थियों को यदि स्थानान्तरण आवश्यक है उन्हें कुमाऊँ विश्वविद्यालय, नैनीताल के शोध निर्देशक के साथ ही आवंटित किया जायेगा।
7	मद सं0 17	विगत वर्ष की भौति शोधार्थियों को सम्मानित किया जायेगा। (क) प्रो0 डी0 डी0 पन्त सम्मान स्मृति-पेटेन्ट प्राप्त शोधार्थियों को (ख) प्रो0 के0 एस0 वाल्दिया सम्मान स्मृति-शोध पत्रिकाओं में 10 से अधिक प्रभाव कारक (Impact Factor) प्राप्त शोधार्थियों को (ग) प्रो0 डी0 एन0 अग्रवाल सम्मान स्मृति- यू-ट्यूबप्लैटफार्म में शिक्षण कार्य से सम्बन्धित चैनल में 01 हजार से अधिक सब्सक्राइबर प्राप्त प्राध्यापकों को (घ) प्रो0 वार्ड0 पी0 एस0 पांगती सम्मान स्मृति-जिन प्राध्यापकों/शोधार्थियों की पुस्तक प्रकाशित हुई हो, यह सम्मान वर्ष 2022 हेतु तथा भविष्य में भी उपरोक्त सम्मान प्रदान वार्षिक आधार पर देय होंगे जिसमें एक प्रमाणपत्र एवं शॉल भेंट किया जायेगा। इस वर्ष 01 जनवरी, 2022 से 31 दिसम्बर, 2022 तक की अवधि हेतु शोधार्थियों को सम्मानित किया जायेगा तथा यह प्रक्रिया भविष्य में भी रहेगी।
8	मद सं0 18	शोध प्रबन्ध कुमाऊँ विश्वविद्यालय, नैनीताल में जमा किये जाने के पश्चात् मौखिकी परीक्षा न्यूनतम 45 दिवसों के पश्चात् ही कराई जायेगी।
9	मद सं0 21	मौखिकी परीक्षा (Ph. D./D. Litt./D.Sc.) हेतु एक माह से अधिक समय हो जाने पर शोधार्थी के आवेदन पर विभागाध्यक्ष/संकायाध्यक्ष अथवा माननीय कुलपति जी द्वारा नामित सदस्य द्वारा मौखिकी परीक्षा शीघ्र पूर्ण करायी जायेगी। विभागाध्यक्ष के उक्त मौखिकी परीक्षा के दिन अवकाश पर होन पर कार्यवाहक विभागाध्यक्ष द्वारा मौखिकी परीक्षा सम्पन्न कराये।
10	मद सं0 22	पी.एच.डी. R.D.E.T प्रवेश परीक्षा में आरक्षण उत्तराखंड राज्य के आरक्षण नियमों के (RDET) अनुसार देय होगा। शोध प्रवेश परीक्षा में आरक्षण विषयवार उपलब्ध सीट पर लगाया जायेगा। परंतु शोध प्रवेश को स्नातकोत्तर पाठ्यक्रम में प्रवेश के समकक्ष नहीं रखा जा सकता है। साथ ही ऊर्ध्व आरक्षित पदों के सामान्य श्रेणी में विनिमय का कोई प्रावधान नहीं है अतः अनु. जाति, अनु. जनजाति, अन्य पिछड़ा वर्ग के अभ्यर्थी अनुपलब्ध होने की दशा में इन सभी वर्गों हेतु आरक्षित की सीटों को रिक्त छोड़ दिया जायेगा और किसी सीट को किसी भी दशा में सामान्य श्रेणी के अभ्यर्थी से नहीं भरा जायेगा। हालांकि शोध सलाहकार समिति यह व्यवस्था करती है कि अभ्यर्थियों की अनुपलब्धता पर क्षैतिज आरक्षण की रिक्त सीटों को संगतश्रेणी के अभ्यर्थियों से भरा जा सकता है।

11	मद सं0 23	शोध प्रवेश हेतु काउन्सिलिंग एक बार में ही सम्पन्न होगी तथा पहली काउन्सिलिंग में रिक्त सीटों पर ही द्वितीय काउन्सिलिंग आयोजित की जायेगी। एक प्रवेश परीक्षा में दो बार रिक्त सीटें नहीं मांगी जायेगी। रिक्त सीटों की संख्या पोर्टल के माध्यम से मांगी जायेगी तथा आवंटित शोधार्थी को ना लेने पर प्राध्यापक पर अनुशासनात्मक कार्यवाही की संस्तुति की जायेगी तथा उन्हें शोध छात्र आवंटन से तीन वर्षों हेतु प्रतिबन्धित कर दिया जायेगा। RDET परीक्षा की निरन्तरता हेतु एक काउन्सिलिंग में अधिकतम 02 शोधार्थी ही एक प्राध्यापक को आवंटित होंगे।
12	मद सं0 24	जो शोधार्थी अपने शोध निर्देशक से एन0 ओ0 सी0 चाहते हैं किन्तु शोध निर्देशक ऐसा शोध निर्देशक बदला जायेगा। करने से मना कर रहे हैं तो उन्हें विभागाध्यक्ष एवं संकायाध्यक्ष की संस्तुति पर माननीय कुलपति जी के आदेश पर यह एन0 ओ0 सी0 दिया जायेगा। यदि शोध निर्देशक, विभागाध्यक्ष भी हैं तो संकायाध्यक्ष की संस्तुति पर माननीय कुलपति जी का निर्णय अन्तिम होगा।
13	मद सं0 25	केवल 2014 के महिला शोधार्थी तथा 2016 के पुरुष शोधार्थी ही अपने शोध कार्य सीमा विस्तार हेतु इस वर्ष प्रार्थना पत्र प्रेषित करें।
14	मद सं0 26	२६. पी.एच.डी. प्रवेश में जे.आर.एफ., इंस्पायर फ़ेलो को अलग से कोई वरीयता नहीं दी जायेगी। पिछली वर्ष की भाँति उन्हें प्रवेश परीक्षा से छूट दी जा सकती है परंतु अन्य परीक्षार्थियों के समकक्ष लाने हेतु इन अभ्यर्थियों को पिछली पी.एच.डी. प्रवेश परीक्षा के नियम लगाये जायेंगे।
15	मद सं0 27	शोध प्रबन्ध जमा करने पर दो शोध पत्र प्रकाशित होने अनिवार्य है जिनका ISSN नम्बर होना जरूरी है वर्ष 2021 में प्रवेशित शोधार्थियों को अपने दो पेपर UGC Care List/Scopus/ Web of Science में होना जरूरी है।
16	मद सं0 28	यू0जी0सी0 नियमानुसार कोविड में समय सीमा का विस्तरण की छूट चाहते हैं का अनुमोदन किया गया।
17	मद सं0 29	प्री पी0 एच-डी0 कोर्स वर्क में प्रत्येक संकाय में प्रथम प्रश्न पत्र के अन्तर्गत Ethics पर Research अनिवार्य रूप से पढ़ाया जायेगा।
18	मद सं0 30	पी0 एच-डी0/डी0 लिट/डी0 एस-सी0 के शोधार्थी विश्वविद्यालय के परिसर/सम्बद्ध महाविद्यालय में प्रवेश अनिवार्य रूप से लेंगे। डी0 लिट/डी0 एस-सी0 हेतु केवल कुमाऊँ विश्वविद्यालय के परिसर में प्रवेश लेना होगा। इस सभी शोधार्थियों को चूंकि आर्डिनेन्स के मुताबिक 200 दिन की उपस्थिति पंजीकरण से देनी होती है, इस उपस्थिति हेतु शोधार्थी को विभाग की पंजीका में हस्ताक्षर करना अनिवार्य होगा तथा पंजीका की छायाप्रति शोध निर्देशक/विभागाध्यक्ष/संकायाध्यक्ष/निर्देशक अथवा प्राचार्य से अनिवार्य रूप से हस्ताक्षर कर प्रमाणित कर अलग से जमा करेंगे। एम0 ओ0 यू0 के सम्बद्ध महाविद्यालय के शोधार्थी सम्बन्धित महाविद्यालय/संस्थान से यह प्रक्रिया सम्पन्न करेंगे। यह अनिवार्य विषय होगा।

19	मद सं0 31	शोधार्थी अपना पेपर प्रकाशन से पूर्व Plagiarism प्रमाण पत्र (प्लेजेरिज्म रिपोर्ट) आवश्यक रूप से प्राप्त करे तथा इस सन्दर्भ में यू0 जी0 सी0 के नियमों का पालन अनिवार्य रूप से होगा। शोध रूपरेखा भी जमा करने से पूर्व प्लेजेरिज्म रिपोर्ट जमा करनी अनिवार्य होगा।
20	मद सं0 32	पी0 -एचडी0/डी0 लिट0/डी0 एस-सी0 के शोध प्रबन्ध की रिपोर्ट सकारात्मक आने तथा मौखिकी-परीक्षा की नकारात्मक रिपोर्ट आने पर समिति गठितकर जाँच करायी जायेगी तथा विशेषज्ञों का मानदेय, दैनिक भत्ता व यात्रा भत्ता जाँच पूरी होने तक नहीं दिया जायेगा। शोध अध्यादेश के मुताबिक शोधार्थी के प्रत्यावेदन तथा शुल्क जमाकर 06 माह बाद दोबार दूसरे पैनल विशेषज्ञों से मौखिकी परीक्षा करायी जायेगी।
21	मद सं0 33	शोध सलाहकार समिति के पुनर्गठन का प्रस्ताव अनुमोदित कर लिया गया है और शोध सलाहकार समिति के पुनर्गठन हेतु कुलपति महोदय को अधिकृत किया गया है।
22	मद सं0 34	शोध छात्र गिरीश चन्द्र, मौखिकी द्वारा अर्नगल पत्र प्रेषित किये जा रहा है। अतः उनकी समस्त फाईलें बन्द की जाती हैं।
	मद सं0 35	एक केन्द्रीय प्रयोगशाला के निर्माण हेतु भीमताल/ दी. एस. बी./ वि. वि. में भूमि/ स्थान हेतु चिन्हित किया जा रहा है। साथ ही, उक्त प्रयोगशाला के निर्माण हेतु नई शिक्षा नीति 2020 के तहत शासन को प्रस्ताव भेजने का निर्णय लिया गया।
	मद सं0 36	इन्फ्रास्ट्रक्चरवृद्धि हेतु डी0 एस0 बी0 के कक्ष सं0 18 तथा विश्वविद्यालय के 02 कक्षों को सूचना तकनीक से सुशोभित किया जायेगा।

23	मद सं0 38	एस0 आर0 आई0 सी0 सी0 का नाम यू0 जी0 सी0 के पत्रानुसार शोध एवं विकास प्रकोष्ठ (Research & Development Cell) किया गया।
24	मद सं0 39	यू0 जी0 सी0 नियमानुसार कॉविड छूट में शोध जमाकरने की छूट दिनांक 31.12.2022 करने सम्बन्धी पत्र का अनुमोदन हुआ।

25	मद सं0 40	Ph. D. Pre-Submission हेतु विभागाध्यक्ष निम्नांकित पत्रजात (Document) प्राप्त होने पर प्रस्तुतीकरण सम्पन्न करायें। 1. पी0 एच-डी0 प्रवेशहेतु Admit Card, Fee Slip, 06 माहकोर्स की अंकतालिका। 2. आर0 डी0 सी0 पंजीकरण का पत्र, शोध प्रबन्ध रूपरेखा यदि संशोधित है तत्कालीन विभागाध्यक्ष की रूपरेखा पर संस्तुति (Revised Synopsis Approved)। 3. दो प्रकाशित शोध पत्र। 4. दो सेमीनार में अपने शोध का प्रस्तुतिकरण प्रमाणपत्र। 5. प्लेजेरिज्म प्रमाण पत्र (10 प्रतिशत से कम) 6. 02 विभागीय Annual Review प्रमाणपत्र। 7. उपस्थिति प्रमाण पत्र कि 200 दिन पंजीकरण के बाद पूर्ण की है तथा इस हेतु विभाग कीपंजिका की छायाप्रति जिसे विभागाध्यक्ष/संकायाध्यक्ष/ निदेशक/प्राचार्य द्वारा प्रमाणित किया गया है। एम0 ओ0 यू0 संस्थान भी इसी क्रम में उपस्थिति देंगे। 8. शोध प्रबन्ध की स्पाई रल कॉपी डी0 लिट/डी0 एस-सी0 हेतु Pre- submission के लिये आवश्यक पत्रजात- 1. शोध प्रबन्ध की स्पाई रल कॉपी, डी0 एस-सी0, /डी0 लिट0 हेतु आवश्यक पत्रजात। 2. प्रवेश हेतु एडमिट कार्ड/फीस स्लिप।
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		3. आर0 डी0 सी0 पंजीकरण का पत्र, शोध प्रबन्ध रूपरेखा यदि संशोधित है तो तत्कालीन विभागाध्यक्ष की रूपरेखा या संस्तुति (Revised Synopsis Approved)। 4. तीन प्रकाशित शोध पत्र। 5. प्लेजरिज्म प्रमाणपत्र (10 प्रतिशत से कम) 6. उपस्थिति प्रमाणपत्र कि 200 दिन पंजीकरण के बादपूर्ण की है तथा इस हेतु सम्बन्धित विभाग की उपस्थिति पंजिका की छायाप्रति जिस में विभागाध्यक्ष/संकायाध्यक्ष/निदेशक/प्राचार्य द्वारा प्रमाणित किया गया हो। एम0 ओ0 यू0 संस्थान भी इसी क्रम में उपस्थिति देंगे।
26	मद सं0 41	शोधार्थी के समस्त दस्तावेज डिजिटल ईज किये जायेंगे।
	मद सं0 43	वर्ष 2022 में प्रबंध अध्ययन में प्रवेशित 05 शोधार्थियों के मन्बन्ध में निर्णय लिया गया कि: 04 विद्यार्थी प्रो. प्रदीप जोशी को एवं 01 विद्यार्थी डा. एन.के. मिह्र अथवा प्रो. अमित जोशी को आबंटित किया जायेगा।
27	मद सं0 44	कुमाऊँ विश्वविद्यालय, नैनीताल एवं वन अनुसन्धान केन्द्र, हल्द्वानी के मध्य हुए एम.ओ. यू. का अनुमोदन किया जायेगा।

कृपया उक्त लिये गये निर्णय को अपने /परिसर / महाविद्यालय में अपने स्तर से प्रेषित करने का कष्ट करें। ताकि सम्बन्धित शोध निर्देशक एवं शोधार्थियों को सूचना से अवगत हो सकें तथा ये सभी निर्णय आज की दिनांक 10.04.2023 से लागू माने जायेंगे।


Director

भवदीय

कुलसचि
कुमाऊँ विश्वविद्यालय, नैनीताल



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is conferred to

Dr. Geeta Tewari

Professor of Chemistry
D.S.B. Campus, Kumaun University, Nainital
on

August 2023

at
Kumaun University, Nainital
By

Professor Diwan S. Rawat

Hon'ble Vice Chancellor, Kumaun University, Nainital

Dr. Ashish Tewari
Joint Director, RDC
Kumaun University, Nainital

Prof. Lalit Tewari
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August 2023

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Dr. Chetna Tewari

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on

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on

August 2023

at
Kumaun University, Nainital

By

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Hon'ble Vice Chancellor, Kumaun University, Nainital

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on

August 2023

at

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शोध कार्य की प्रक्रिया होगी पारदर्शी : कुलपति

नेट उत्तीर्ण करने, पुस्तक और स्तरीय शोध लेखन पर विद्यार्थी और अध्यापक सम्मानित

संवाद न्यूज एजेंसी



नैनीताल स्थित डीएसपी परिसर में प्राध्यापकों व शिक्षकों को सम्मानित करते हुए प्रो. डीएस रायदा।

अतुल जोशी, प्रो. नील तिवारी, प्रो. तस्लीम खान, प्रो. सोनीप कव्हा, प्रो. किरण कार्गवेली, प्रो. एस एस बरगुडी, प्रो. सुभाष चट्टरजी, डॉ. महेश अर्घा, डॉ. लज्जा मट्टर, प्रो. नीमा पंडे, प्रो. सवित्री केडा गंगवतकर, प्रो. कल्पना अग्रवाल, डॉ. हरीशचंद्र पाठक, डॉ. टी शिवाजी चमवाल, डॉ. हरिचंद्र पाठक, टीपासी पंत, प्रताप जोशी, डॉ. चेतन तिवारी, डॉ. नीमा लहारी, डॉ. विमलदे

पंथ में कुल्लुवाता का स्वागत किया।
कार्यक्रम में परिसर निदेशक प्रो.
संजय पंत, आर्थिक निदेशक प्रो.
रमेश उपाध्याय, दोन प्रो. अनुसु जोशी
और प्रो. डेवू पाठक, प्रो. चित्र पांडे, प्रो.
नीला जोशी शर्मा, प्रो. निरंजना देसाय, प्रो.
निरीता नरन शिवाटी, प्रो. आशीष शिवरं
जं, मोहो अर्य सज्जित शिवायधर
प्रधान्यक आदि उपस्थित थे। संस्कार
प्रो. लक्ष्मी शिवाटी ने किया।

कुलपति ने परीक्षा केंद्र का औचक निरीक्षण किया



नेतीशता। कुमाऊँ जिले के कुलवीर पौरो दौकन सिंह रावत ने पुरस्कर्तिका को दोहराये कि हमारे पास में पठाने कुलवीर रावत और पठाने कर्मी को आदिक विधिपत्र किताब। कुलवीर ने विधिपत्र पठाने कर्मी में सिद्धावर्षी में से पठाने पर सुझाव दिया भी की। इस दोकन कुलवीर ने क्या कि पठाने पठाने पठाने पठाने पर नहीं पढ़ये में इस पर उन्होंने पठाने नहीं कहा। उन्होंने कुलवीर को में तैयार कर्मी में से पठाने पठाने पठाने पठाने को कुल सुझाव भी दिया। उन्होंने पठाने सिद्धावर्षी प्रभावक में से पठाने कर्मी के प्रति सारा और सुझाव देने का आह्वान किया। इस दोकन पठाने विधिपत्र किताब कि सारावर्षी कुलवीर को में तैयार डॉ. संतो कुमार, डॉ. संतो सिंह, डॉ. सारिका वर्मा आदि ने विधिपत्र जाकारा दी। संखर

विधि विचार को समीची कोटिपर, संपन्न कनकल, दीपपत्ती
 अधिपत्त, इतिहास में पुरान मोक्षवर्ध, विज्ञानो कुम्भ, सैम
 नकल, कविर्ष में प्रती, स्रष्टाश शायी ज्योती, सौचित में
 योद्धी वेदो, ज्योति दाम, अश्वत्थाम में धावक पालीकल, हिदी
 में देव्य कुम्भ, चंद्रोख, विज्ञानो में दीक्षा मोक्ष, रक्षण में
 मोक्ष विधि, समासात्मक में म
 सुप्रति स्वस्तेना को कुलपति ने

डॉ. कड़ाकोटी का विदेश में पोस्ट डॉक्टरल पद पर चयन

उपलब्धि



डॉ. मनोज कड़ाकोटी

नैनीताल, संवादादाता। कुमाऊँ विवि के विज्ञान विभाग के अंतर्गत संचालित प्रो. राजेंद्र सिंह नैनी साइंस एंड नैनी टेक्नोलॉजी विभाग के शोधार्थी डॉ. मनोज कड़ाकोटी का दक्षिण कोरिया में पोस्ट डॉक्टरल पद पर चयन हुआ है। उन्हें रिसर्च ग्रीन एनर्जी कन्वर्जेंस टेक्नोलॉजी में पोस्ट डॉक्टरल शोध पद मिला है। वह जल्द ही विदेश में कार्यभार ग्रहण कर शोध कार्य करेंगे।

डॉ. मनोज ने बताया कि उन्होंने प्रो. झराजेंद्र सिंह नेनो साइंस एंड नेनो टेक्नोलॉजी केंद्र, रसायन विज्ञान विभाग, डीएसबी परिसर, कुमाऊँ विश्वि से विभाग के प्रभारी प्रो. नंद गोपाल साहू के निर्देशन में शोध कार्य पूरा किया है। इससे पूर्व उन्होंने सल्फ

अल्बोर्डे इसे अपनी प्रारंभिक शिक्षा पूरी की। पीपुल्स कॉलेज रामपुर से स्नातक और स्नातकोत्तर की पढ़ाई पूरी कर पीपुल्स की टीम उर्बेन व्हेस्ट अपसाइडिंग, ग्राफीन एंड सुपरकैपेसिटर पर काम किया। एडिशन कारिया में डॉ. कड़ाकोटी एडवॉस प्फुवरसिटिक एनर्जी मेटरियल पर शोध करेंगे। उन्हें इस सफलता पर कुलपति, प्रो. पुत्ते जोशी, दिनेश चंद्रा, डीन साईस प्रो. एबी मेल्लकनी, प्रो. ललित विहारी, ने बधाई दी है।

उत्कृष्ट शोध पत्र प्रकाशित करें

जास्त, नैतिकता : राजन्यत्व और कुलप्राधिकारिता संरक्षित हो जनसत्ता की गुप्तता सिंह ने कहा कि शिक्षा एवं शोध की गुप्तताओं विषयवस्तुओं का मूल सिद्धान्त होना चाहिए फैसला उसे अधिक से अधिक शोध एवं अंतरराष्ट्रीय स्तर से उत्कृष्ट शोध एवं प्रक्रियागत स्तर के निर्देश देना। कहा कि शोध एवं तकनीक सही मायनों में ही उपयोगी है, जब उसका लाभ समूह को मिले। साथ ही संरक्षकता, अपने अधिकार संस्थापनों के स्रोत स्रोत तल्लो तक विषयवस्तुओं पर निर्भर न रहना पड़े। केंद्रीय संस्थाओं और संस्थासभा की मदद से अधिक संस्थापनों की पूर्ति के प्रयास किए जायें।

- कुलाधिपति ने डीएसबी परिसर में स्त्री संकायाध्यक्षों व निदेशकों की बैठक

- उपलब्धियाँ, सामाजिक योगदान और विज्ञान के द्वारे में लिया एडिटोरीक



मेनीकल डीएसवी कालेज में सकाराध्यक्ष और निदेशक की बैठक के दौरान राज्यपाल को प्रतीक विद्रोह करते हुए पकड़ा गया था, मनमोहन लौहान और प्राध्यापक • जयराज

उनके मिशन-विजन के साथ-साथ इनकी चुनौतियों की जानकारी प्राप्त की। पूर्व छात्रों के लिए एलुमनी मीट के आयोजन पर जोर दिया। इस अवसर पर कुलपति डा. मनमोहन चौधन, कुलसचिव दिनेश चंद्र, परिसर निदेशक प्रो. एलएम जोशी

प्रो. इंदु पाठक, प्रो. सतपाल सिंह
बिष्ट, प्रो. संजय पंत, प्रो. राजीव
उपाध्याय, डा. रिमेश साह, प्रो.
दिव्या यू जोशी, प्रो. एलएस
लोचियाल, प्रो. अशुत जोशी, डा.
जीत राम, प्रो. हरीश चंदेला, प्रो.
एमएस मावली आदि थे।

प्रो. साहू ने नैनो साइंस के क्षेत्र में कुमाऊं
विवि को राष्ट्रीय पटल पर पहुंचाया

संवाद न्यूज एजेंसी



प्रो.नंद गोपाल साहू

नैनीताल। कुमाऊँ विश्वविद्यालय के डॉ.एसबी परिसर के रसायन विज्ञान विभाग के अंतर्गत संचालित प्रोफेसर राजेंद्र सिंह नेनो साइंस एंड नैनो टेक्नोलॉजी सेक्टर प्रभारी प्रोफेसर चंद गोपाल साहू ने बीते सप्ताह वर्षों में गहन शोध के बाद अपनी उपलब्धि से विश्वविद्यालय का नाम राष्ट्रीय स्तर पर विख्यात किया है।

2019 में नेशनल अवार्ड से सम्मानित प्रो. साहू वर्तमान में यहां नारायण नगर में नगर पालिका के साथ मिलकर प्लास्टिक रिसाइक्लिंग व इससे ग्रामफोन निर्माण के क्षेत्र में कार्य कर रहे हैं। कुछ महानों में नैनीताल में प्लास्टिक रिसाइक्लिंग शुरू होने की उम्मीद है।

स्वच्छता सर्वेक्षण के ब्रांड एंबेसडर प्रोफेसर साहू मूलरूप से पश्चिम बंगाल के हैं। वह 2016 से कुमाऊँ विवि, डोएसबी परिसर में कार्य कर रहे हैं। विभिन्न उपलब्धियों पर 2019 में मद्रास में आयोजित कार्यक्रम में तत्कालीन उपति के.के.या नायडू ने नेशनल से नवाजा।

साहू को टायर अपशिष्ट से कंपोसिटर बनाने के लिए पेटेंट प्राप्त है। आयरन से सिंग स्ट्रुप में भी पेटेंट किया है।

स्टिक की रिसाइक्लिंग से ग्राफ़ीन में उनका पेटेंट है। प्रो. साहू हिमालयन मिशन की ओर से जल वेस्ट मैनेजमेंट प्रोजेक्ट को चला रहे हैं।

शुक्रवार को राज्यपाल ने कुमारों विवि के डीएसबी परिसर में संसदाध्यक्षों व निदेशकों का बैठक ली। प्रत्येक संसदाध्यक्ष व निदेशकों से अलग-अलग बात कर उनके विभाग की उपलब्धियों समाज में दिए गए योगदान और

समाज की बेहतरी के लिये करें शोध : प्रा. रावत

कुमाऊं विवि में उत्कृष्ट शोध पर प्रोफेसर व शोधार्थी सम्मानित

नैनीताल। कुमाऊँ विश्वविद्यालय के शोध अनुभाग द्वारा मुखार को झीएसबी परिसर के कला संस्कार सैमिनार हॉल में उच्छ्र शोध कर रहे प्रोफेसरों व शोधार्थियों को सम्मानित किया गया। कुलपति प्रो. दीनबाल सिंह रावत ने 47 शोधार्थियों, प्राध्यापकों व यूजीसी सीएसआरआर नेट पास करने वाले 20 विद्यार्थियों को पुरस्कृत किया। इस अवसर पर कुलपति ने कहा कि कुमाऊँ विश्वविद्यालय यूजीसी के निगमानुसार शोध करने वाले शोधार्थियों को हर संभव मदद करेगा। उन्होंने कहा कि कुमाऊँ विश्वविद्यालय ने उच्छ्र शोधार्थी दिए हैं, जिन्होंने विश्व में पहचान बनाई। कहा कि हर प्राध्यापक शोध में रचनात्मकता लाएँ तथा समाज को बेहतर के लिए शोध करें।

प्रभारी निदेशक प्रो. संजय पंत ने सभी का स्वागत किया। संचालन शोध निदेशक प्रो. ललिता तिवारी ने किया। सहायक निदेशक डॉ. महेश आर्य ने धन्यवाद ज्ञापित किया। शोध अनुभाग के इस समारोह में पेटेंट हासिल करने वाले प्राध्यापकों को प्रो. डीडी पंत रिसर्च अवार्ड, 2022 में 10 इंपैक्ट फैक्टर युक्त प्रकाशन पर प्रो. केएस बाल्दियरा रिसर्च पुरस्कार, 2022 में सम्मेलन पर युट्यूब पर 1000 स्क्राइब्स होने पर प्रो.



कूमाऊं विश्वविद्यालय के उत्कृष्ट प्राध्यापकों व शोधार्थियों का सम्मान

डीएन अग्रवाल पुस्करा तथा 2022 में एक पुस्तक प्रकाशन पर प्रो. वाईपीएस पांगतों पुस्करा दिया गया। इस मौके पर यूजीसी नेटवर्क पास करने पर विधि विभाग की समीचीन कांडपाल, मेधा कन्याल, दीपली नवियाल, इतिहास में पूनम गोस्वामी, क्रिश्णा कुमारा, सीमा नयाल, कर्मस में प्रीति, अश्रिता शर्मा, मीन जोशी, संगीत में नंदिनी बमेयट, ज्योति दास, अर्थशास्त्र में भावना पालीवाल, हिंदी में देवीश्वर कुमार, चंद्र शेखर, ज्योतीलजी में दीक्षा बोहरा, रसायन विज्ञान में मदीप सिंह महारा, समाजशास्त्र में ममता आर्य, हर्ष वर्धन, रिया पांडे, सुरभि सक्सेना की कुलपति ने सम्मान पत्र भेंट किया। शोध पुस्करा में कुलपति ने शालि आद्यक प्रशस्ति पत्र देते हुए। इनमें प्रो. प्र

गोपाल साहू को प्रो. डीडी पंत, प्रो. केएस वल्लिया तथा प्रो. वाई पीएस पांगती सहित तीन पुरूषका प्रदान किए। प्रो. डीडी पंत तथा प्रो. केएस वल्लिया पुरूषका रसायन विभाग के, प्रो. वाई पीएस पांगती रसायन विभाग के, चेतना तिवारी, डॉ. गौर तिवारी, डॉ. हिमानी तिवारी, डा. मनोरा कडुकोटी, डॉ. अनिता राणा, डॉ. संदीप पाण्डेय, डॉ. सुनील डाली को दिया गया। प्रो. केएस वल्लिया रिसर्च अवार्ड से प्रो. संतोष कुमार, प्रो. राजीव उपाध्याय ज़्योलोजी से, प्रो. किरण बगेली, प्रो. एसएस बगेली, प्रो. सुषमा टण्टी कविता खत्री, भावना नेगी वनस्पति विज्ञान, प्रो. अर्चना साह नेगी फार्मसी भीमताल, प्रो. वीन पांडे जैव प्रौद्योगिकी, मयंक पाठक, डॉ. मोनिका मटियानी, डॉ. नीमा पांडे, डॉ. भास्कर सिंह को

रसायन तथा डॉ. निशा फातिमा भीतिकी को सम्मानित किया गया। प्रो. डीएन अग्रवाल रिसर्च अवार्ड से। गीता तिवारी, डॉ. महेश आर्य रसायन विज्ञान, डॉ. दीक्षा मेहरा हिंदी को दिया गया। प्रो. वाईपीएस पांगती रिसर्च पुरस्कार से प्रो. अनुपम जोशी कॉमर्स, प्रो. सावित्री केड्रा जंजवाल इतिहास, प्रो. कल्पना अग्रही राजनीति विज्ञान, डॉ. हरिप्रिया पाठक, डॉ. सिवांगी चन्पाल, डॉ. दीपिका पंत, डॉ. प्रसम्मी जोशी अंग्रेजी, डॉ. देवेंद्र परिहार भूगोल, प्रो. ललित तिवारी, डॉ. नवीन पंडित वनस्पति विज्ञान, डॉ. लज्जा भट्ट संस्कृत, डॉ. दीपक कुमार गणित, डॉ. नरेंद्र कुमार प्रबंधन भीमाताल को कुलपति ने सम्मान पत्र व शॉल ओढ़ाया। कार्यक्रम की शुरुआत दीप प्रज्वलन, कुलाती के साथ हुई। कुलपति प्रो. दीवान सिंह रावत को शॉल ओढ़ाकर और पुष्प गुच्छ व तेज पत्र का पांथी भेंट कर शोध विभाग की तरफ से सम्मानित किया गया। राधानाथ से कार्यक्रम संपन्न हुआ। कार्यक्रम में प्रो. इंदु पाठक संकायाध्यक्ष, प्रो. चित्रा पांडे, प्रो. नीता बोर्रा शर्मा, प्रो. निर्मला देला, प्रो. रजनीश पांडे, प्रो. परम सिंह बिष्ट, प्रो. आशीष तिवारी, प्रो. गिरीश रंजन विवारी, प्रो. चंद्रकला रावत, प्रो. संजय टण्डा, प्रो. महेंद्र राणा समेत कई प्राध्यापक, शोधार्थी व विद्यार्थी उपस्थित रहे।