



## YEARLY STATUS REPORT - 2020-2021

<b>Part A</b>	
<b>Data of the Institution</b>	
<b>1.Name of the Institution</b>	<b>Kumaun University</b>
• Name of the Head of the institution	<b>Prof N. K. Joshi</b>
• Designation	<b>Vice Chancellor</b>
• Does the institution function from its own campus?	<b>Yes</b>
• Phone no./Alternate phone no.	<b>05942235068</b>
• Mobile no	<b>9639847267</b>
• Registered e-mail	<b>vc@kunainital.ac.in</b>
• Alternate e-mail address	<b>registrar@kunainital.ac.in</b>
• City/Town	<b>Nainital</b>
• State/UT	<b>Uttarakhand</b>
• Pin Code	<b>263001</b>
<b>2.Institutional status</b>	
• University	<b>State</b>
• Type of Institution	<b>Co-education</b>
• Location	<b>Urban</b>
• Name of the IQAC Co-ordinator/Director	<b>Prof Rajeev Upadhyay</b>

• Phone no./Alternate phone no	05942235563				
• Mobile	9411102348				
• IQAC e-mail address	director.iqac@kunainital.ac.in				
• Alternate Email address	rajeev_up@yahoo.com				
<b>3.Website address (Web link of the AQAR (Previous Academic Year))</b>	<a href="https://www.kuiqac.in/_files/ugd/9c2473_b2bb0665559b4e2c963af9832516cc08.pdf">https://www.kuiqac.in/_files/ugd/9c2473_b2bb0665559b4e2c963af9832516cc08.pdf</a>				
<b>4.Whether Academic Calendar prepared during the year?</b>	Yes				
• if yes, whether it is uploaded in the Institutional website Web link:	<a href="https://www.kunainital.ac.in/images/document/Academic-Calendar-2020-21.pdf">https://www.kunainital.ac.in/images/document/Academic-Calendar-2020-21.pdf</a>				
<b>5.Accreditation Details</b>					
Cycle	Grade	CGPA	Year of Accreditation	Validity from	Validity to
Cycle 2	A	3.04	2016	19/02/2016	18/02/2021
<b>6.Date of Establishment of IQAC</b>			30/09/2009		
<b>7.Provide the list of Special Status conferred by Central/ State Government-UGC/CSIR/DST/DBT/ICMR/TEQIP/World Bank/CPE of UGC etc.</b>					
Institution/ Department/Faculty	Scheme	Funding agency	Year of award with duration	Amount	
NA	NA	NA	NA	00	
<b>8.Whether composition of IQAC as per latest NAAC guidelines</b>			No		
• Upload latest notification of formation of IQAC			<a href="#">View File</a>		
<b>9.No. of IQAC meetings held during the year</b>			4		
• The minutes of IQAC meeting and compliance to the decisions have been uploaded on the institutional website. (Please upload, minutes of meetings and action taken report)			Yes		

<ul style="list-style-type: none"> <li>(Please upload, minutes of meetings and action taken report)</li> </ul>	No File Uploaded	
<b>10. Whether IQAC received funding from any of the funding agency to support its activities during the year?</b>	No	
<ul style="list-style-type: none"> <li>If yes, mention the amount</li> </ul>		
<b>11. Significant contributions made by IQAC during the current year (maximum five bullets)</b>		
<p>co-ordinator participated as resource person in training programmes conducted by the UGC HRDC, Kumaun University where crucial inputs regarding role of teachers and capacity building of faculty were achieved with view to enhance quality of teaching-learning, research and administration • IQAC successfully applied for various national and international rankings for University (NIRF, MDRA etc.)</p>		
<b>12. Plan of action chalked out by the IQAC in the beginning of the Academic year towards Quality Enhancement and the outcome achieved by the end of the Academic year</b>		
<div style="text-align: center; opacity: 0.5; font-size: 48px; font-weight: bold;">NIRF</div>		

Plan of Action	Achievements/Outcomes
vii) Preparing the AQAR	vii) Preparing the AQAR
vi) Planning and preparing	vi) Planning and preparing for the upcoming NAAC review in 2021-2022
iv) Preparing proposals	iv) Preparing proposals for funding for developmental work in tandem with other units of administration
iii) Screening and consulting	iii) Screening and consulting on the various proposals received by University from private/public vendors/agencies for quality enhancement schemes/projects
ii) Sensitizing administrators	ii) Sensitizing administrators within the University towards current trends in Higher Education in India, best practices, regional and local needs and opportunities
i) Raising awareness	i) Raising awareness of nationally mandated quality criteria for HEIs among stakeholders via meetings, workshop(or participation thereof), etc.
<b>13. Whether the AQAR was placed before statutory body?</b>	<b>Yes</b>
<ul style="list-style-type: none"> <li>Name of the statutory body</li> </ul>	
<b>Name</b>	<b>Date of meeting(s)</b>
<b>Executive Council (Chairman)</b>	<b>01/06/2022</b>
<b>14. Whether NAAC/or any other accredited body(s) visited IQAC or interacted with it to Assess the functioning?</b>	<b>No</b>

<b>15. Whether institutional data submitted to AISHE</b>	
Year	Date of Submission
2022	26/03/2022
<b>16. Multidisciplinary / interdisciplinary</b>	
<b>17. Academic bank of credits (ABC):</b>	
<b>18. Skill development:</b>	
<b>19. Appropriate integration of Indian Knowledge system (teaching in Indian Language, culture, using online course)</b>	
<b>20. Focus on Outcome based education (OBE): Focus on Outcome based education (OBE):</b>	
<b>21. Distance education/online education:</b>	
<b>Extended Profile</b>	
<b>1. Programme</b>	
1.1 Number of programmes offered during the year:	5
1.2 Number of departments offering academic programmes	4
<b>2. Student</b>	
2.1 Number of students during the year	5034
2.2 Number of outgoing / final year students during the year:	4637

2.3	5030
Number of students appeared in the University examination during the year	
2.4	0
Number of revaluation applications during the year	
<b>3.Academic</b>	
3.1	170
Number of courses in all Programmes during the year	
3.2	121
Number of full time teachers during the year	
3.3	205
Number of sanctioned posts during the year	
<b>4.Institution</b>	
4.1	2718
Number of eligible applications received for admissions to all the Programmes during the year	
4.2	1276
Number of seats earmarked for reserved category as per GOI/ State Govt. rule during the year	
4.3	177
Total number of classrooms and seminar halls	
4.4	674
Total number of computers in the campus for academic purpose	
4.5	15,34,96,000
Total expenditure excluding salary during the year (INR in lakhs)	
<b>Part B</b>	
<b>CURRICULAR ASPECTS</b>	

**1.1 - Curriculum Design and Development**

1.1.1 - Curricula developed and implemented have relevance to the local, national, regional and global developmental needs which is reflected in Programme outcomes (POs), Programme Specific Outcomes(PSOs) and Course Outcomes(COs) of the Programmes offered by the University

Various departments of the University designed their PG syllabus as per CBCS system and included POs, PSOs and COs in their syllabus.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**1.1.2 - Number of Programmes where syllabus revision was carried out during the year**

41

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**1.1.3 - Total number of courses having focus on employability/ entrepreneurship/ skill development offered by the University during the year****1.1.3.1 - Number of courses having focus on employability/ entrepreneurship/ skill development during the year**

1116

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**1.2 - Academic Flexibility****1.2.1 - Number of new courses introduced of the total number of courses across all programs offered during the year**

170

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

### 1.2.2 - Number of Programmes in which Choice Based Credit System (CBCS)/elective course system has been implemented during the year

21

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

### 1.3 - Curriculum Enrichment

1.3.1 - Institution integrates crosscutting issues relevant to Professional Ethics, Gender, Human Values, Environment and Sustainability into the Curriculum

Kumaun University offeres cources related to gender (LAW RELATING TO CRIME AGAINST WOMEN, GENDER AND SOCIETY, HUMAN RIGHTS: LAW AND PRACTICE), Environmentand Sustainablity(ENVIRONMENTAL CHEMISTRY,ENVIRONMENTAL BIOTECHNOLOGY,ENVIRONMENTAL BIOTECHNOLOGY & BIOSAFETY,ECOLOGY AND BIOSTATISTICS,ECOLOGY AND ENVIRONMENTAL BIOLOGY,ENVIRONMENTAL GEOLOGY,FOREST ECOLOGY AND BIODIVERSITY,FOREST MANAGEMENT AND WILDLIFE,FOREST PROTECTION,WILDLIFE,SOCIAL FORESTRY AND AGRO-FORESTRY,FOREST UTILIZATION, WOOD SCIENCE AND TECHNOLOGY,VALUE EDUCATION AND HUMAN RIGHTS,ROLE OF JUDICIARY, NGO'S IN ENVIRONMENTAL PROTECTION,ENVIRONMENTAL LAW,INTERNATIONAL ENVIRONMENTAL LAW,HUMAN RIGHTS: LAW AND PRACTICE, Professional ethics (PUBLIC INTERNATIONAL LAW,PROFESSIONAL ETHICS AND PROFESSIONAL, ACCOUNTING SYSTEM).

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 1.3.2 - Number of value-added courses for imparting transferable and life skills offered during the year

6



File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

### 1.3.3 - Total number of students enrolled in the courses under 1.3.2 above

#### 1.3.3.1 - Number of students enrolled in value-added courses imparting transferable and life skills offered during the year

2713

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

#### 1.3.4 - Number of students undertaking field projects / research projects / internships during the year

0

File Description	Documents
Upload the data template	No File Uploaded
Upload relevant supporting document	No File Uploaded

## 1.4 - Feedback System

#### 1.4.1 - Structured feedback for design and review of syllabus – semester wise / is received from Students Teachers Employers Alumni

- Any 1 of the above

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

#### 1.4.2 - Feedback processes of the institution may be classified as follows

- Feedback collected and analysed

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

TEACHING-LEARNING AND EVALUATION	
<b>2.1 - Student Enrollment and Profile</b>	
<b>2.1.1 - Demand Ratio</b>	
<b>2.1.1.1 - Number of seats available during the year</b>	
2920	
File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded
<b>2.1.2 - Total number of seats filled against reserved categories (SC, ST, OBC, Divyangjan, etc.) as per applicable reservation policy during the year (Excluding Supernumerary Seats)</b>	
<b>2.1.2.1 - Number of actual students admitted from the reserved categories during the year</b>	
931	
File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded
<b>2.2 - Catering to Student Diversity</b>	
2.2.1 - The institution assesses the learning levels of the students and organises special Programmes for advanced learners and slow learners	
<p>Kumaun University students belong to diverse socio-economic and backgrounds and consequently, learning capacities differ. The University faculty ensures identification of the slow, average and advanced learners and adopts a need-based approach, in choosing teaching and assessment mechanisms. The faculty members interact with the students at the commencement of the new session in both formal and informal mode to ascertain their learning needs and skills. Each department organizes an informal induction/orientation programme for its newly admitted students to familiarize them with the course structure, faculty, staff, facilities and overall environment. Students from senior batches are motivated to help the new entrants through friendly interactions. As the session progresses, the students who are identified as slow and average learners, are encouraged by their teachers to approach them with their academic queries even after the class hours as part of the</p>	

remedial efforts. The advanced learners are constantly encouraged, motivated and mentored by their respective faculty members to prepare for the competitive examinations at the state / national /international level such as GATE, NET, SET, GRE, CAT and Civil services examinations. The faculty members also organize quizzes, debates, group discussions, group assignments etc. for the students to improve their learning ability.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>
Link For Additional Information	Nil

### 2.2.2 - Student - Full time teacher ratio during the year

Number of Students	Number of Teachers
2718	121

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 2.3 - Teaching- Learning Process

2.3.1 - Student centric methods, such as experiential learning, participative learning and problem-solving methodologies are used for enhancing learning experiences

Various innovative and creative pedagogical methods which are both student centric and contemporary so as to make the teaching and learning experience more effective and practically sound have been introduced. Study tours are also organized and project work assigned to the students. Students from the Science faculty are regularly engaged in lab based and field based experimental work. Faculty members and students are engaged in various sponsored research projects.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

2.3.2 - Teachers use ICT enabled tools including online resources for effective teaching and learning processes during the year

Besides, the university has ensured the use of the latest ICT technology by providing smart classrooms, computers, LCD projectors and internet connections for a digitally enabled teaching-learning environment. We have 39 smart classrooms presently functioning in our university. During COVID times, the faculty members took classes through online platforms such as googlemeet, google classrooms, zoom, microsoft teams etc. The University has implemented for the students to take online courses through online mode through National Schemes like SWAYAM, NPTEL etc.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 2.3.3 - Ratio of students to mentor for academic and other related issues during the year

#### 2.3.3.1 - Number of mentors

121

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 2.4 - Teacher Profile and Quality

#### 2.4.1 - Total Number of full time teachers against sanctioned posts during the year

121

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

#### 2.4.2 - Total Number of full time teachers with Ph.D./D.M/M.Ch./D.N.B Superspeciality/D.Sc./D'Lit. during the year

121

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

**2.4.3 - Total teaching experience of full time teachers in the same institution during the year****2.4.3.1 - Total experience of full-time teachers****2140**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

**2.4.4 - Total number of full time teachers who received awards, recognition, fellowships at State, National, International level from Government/Govt. recognised bodies during the year****1**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

**2.5 - Evaluation Process and Reforms****2.5.1 - Number of days from the date of last semester-end/ year- end examination till the declaration of results during the year****22****2.5.1.1 - Number of days from the date of last semester-end/ year- end examination till the declaration of results year wise during the year****22**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

**2.5.2 - Total number of student complaints/grievances about evaluation against total number appeared in the examinations during the year****132**

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

2.5.3 - IT integration and reforms in the examination procedures and processes (continuous internal assessment and end-semester assessment) have brought in considerable improvement in examination management system of the institution

Kumaun University has adopted the semester system to continuously assess the performances of the students . The University has developed a well-established process for the conduct of semester examinations, declaration of results and award of degrees and diplomas facilitated by the examination centre of the University which is largely automated. At the graduate and postgraduate level, the internal assessment is carried out (20-25% marks). The practical examinations are also conducted with the help of external examiners.

Kumaun University maintains transparency in its evaluation process by providing

- an open access to Ordinances and Regulations
- provision of scrutiny / re-evaluation
- evaluated answer scripts through RTI application

The examination system of Kumaun University has been automated, Online student registration, date sheet of examinations, full examination schedule, issuing of admit cards and the results are all available online on the University's website. The University also has a provision of improvement / back examinations as a second chance for the students to improve their grades. Technology has been efficiently integrated into the examination system. All the degrees are awarded in two languages -Hindi and English.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**2.5.4 - Status of automation of Examination division along with approved Examination Manual**

A. 100% automation of entire division & implementation of Examination Management System (EMS)

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

## 2.6 - Student Performance and Learning Outcomes

2.6.1 - The institution has stated learning outcomes (generic and programme specific)/graduate attributes which are integrated into the assessment process and widely publicized through the website and other documents

The University curriculum is designed and developed in such a manner that it provides an interesting blend of foundation, vocational and core courses which helps students towards developing the required skills and knowledge to achieve a rewarding career and also contributes towards nurturing the students into enlightened citizens with strong values and character. Various departments of Kumaun University adopt numerous strategies to achieve the intended learning outcomes such as classroom lectures, tutorials, practical, field work, courses unitization and creditization, study tours, class seminars, field reports, group discussions, role-playing activities etc. Continuous assessment is carried out through class tests, seminars and assignments etc. The departments collect and analyze data on student learning outcomes through class tests, seminars, assignments which are organized and monitored for evaluation. The faculty members strictly adhere to the academic calendar prepared by the University regarding their teaching schedule and ensure smooth implementation of the curriculum.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

2.6.2 - Attainment of Programme outcomes, Programme specific outcomes and course outcomes are evaluated by the institution during the year

The Programme Outcomes (POs), Programme Specific Outcomes (PSOs) and Course Outcomes (COs) of all programmes and courses of Kumaun University are in tune with the University's vision and mission and duly fulfill the needs of the students. These learning objectives have been developed after due deliberations and active participation of all esteemed faculty members.

The University is currently working on several strategies to meet fresh/ future challenges relating to redesigning of courses, and

updating students' learning and evaluation which includes ICT-enabled teaching through Smart Classroom across all departments, complete digitalization of examination department, access to e-learning and open learning resources in hostels etc. Various departments of Kumaun University adopt numerous strategies to achieve the intended learning outcomes such as classroom lectures, tutorials, practical, field work, courses unitization and creditization, study tours, class seminars, field reports, group discussions, role-playing activities etc. Continuous assessment is carried out through class tests, seminars and assignments etc. The departments collect and analyze data on student learning outcomes through class tests, seminars, assignments which are organized and monitored for evaluation. The faculty members strictly adhere to the academic calendar prepared by the University regarding their teaching schedule and ensure smooth implementation of the curriculum.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 2.6.3 - Number of students passed during the year

#### 2.6.3.1 - Total number of final year students who passed the university examination during the year

10128

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

### 2.7 - Student Satisfaction Survey

#### 2.7.1 - Student Satisfaction Survey (SSS) on overall institutional performance (Institution may design its own questionnaire) (results and details need to be provided as a web link)

<https://www.kunainital.ac.in/studentfeedback.php>

## RESEARCH, INNOVATIONS AND EXTENSION

### 3.1 - Promotion of Research and Facilities

3.1.1 - The institution Research facilities are frequently updated and there is well defined policy for promotion of research which is uploaded on the institutional website and implemented



The University frequently updates the research facilities time to time in Research Advisory Committee. Some of the decisions for promoting research were taken in the RAC held on 25-11-2020.

1. Research award will grant to the researchers having 10 impact factor, published book, patents, 1000 subscribers in YouTube channel (study channel) with one year (Point 3).
2. Publishing thesis online in Shodh Ganga (Point 8)
3. Remuneration of consultancy 60:40 (Consultant: University) (Point 11).
4. Co-supervisor may be from same department or different departments or international to increase the interdisciplinary approach and quality in research (Point 15).
5. Plagiarism certificate (<10%), two published papers in UGC listed journals and certificate of paper presented in the conferences is must be submitted during thesis submission (Point 20).
6. Special lecture should be arranged in each faculty in the year 2021 (Point 31).

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 3.1.2 - The institution provides seed money to its teachers for research (amount INR in Lakhs)

20000

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

### 3.1.3 - Number of teachers receiving national/ international fellowship/financial support by various agencies for advanced studies/ research during the year

01

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

### 3.1.4 - Number of JRFs, SRFs, Post-Doctoral Fellows, Research Associates and other research fellows enrolled in the institution during the year

194

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

**3.1.5 - Institution has the following facilities to support research**  
**Central Instrumentation**  
**Centre Animal House/Green House Museum**  
**Media laboratory/Studios Business Lab**  
**Research/Statistical Databases Moot court**  
**Theatre Art Gallery**

A. Any 4 or more of the above

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 3.1.6 - Number of departments with UGC-SAP, CAS, DST-FIST, DBT, ICSSR and other recognitions by national and international agencies during the year

00

File Description	Documents
Upload the data template	No File Uploaded
Upload relevant supporting document	No File Uploaded

## 3.2 - Resource Mobilization for Research

**3.2.1 - Extramural funding for Research (Grants sponsored by the non-government sources such as industry, corporate houses, international bodies for research projects) endowments, Chairs in the University during the year (INR in Lakhs)**

01

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

### 3.2.2 - Grants for research projects sponsored by the government agencies during the year (INR in Lakhs)

**10,86,57,453**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<b>No File Uploaded</b>

### 3.2.3 - Number of research projects per teacher funded by government and non-government agencies during the year

**20**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<b>No File Uploaded</b>

## 3.3 - Innovation Ecosystem

3.3.1 - Institution has created an eco-system for innovations including Incubation centre and other initiatives for creation and transfer of knowledge

**No incubation centre has been created till date**

**KU IIC is working on it.**

File Description	Documents
Upload relevant supporting document	<b>No File Uploaded</b>

### 3.3.2 - Number of workshops/seminars conducted on Research Methodology, Intellectual Property Rights (IPR), Entrepreneurship and Skill Development during the year

**01**

#### 3.3.2.1 - Total number of workshops/seminars conducted on Research methodology, Intellectual

**Property Rights (IPR), entrepreneurship, skill development year wise during the year**

01

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**3.3.3 - Number of awards / recognitions received for research/innovations by the institution/teachers/research scholars/students during the year****3.3.3.1 - Total number of awards / recognitions received for research/innovations won by institution/teachers/research scholars/students year wise during the year**

12

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**3.4 - Research Publications and Awards****3.4.1 - The institution ensures implementation of its stated Code of Ethics for research**

**3.4.1.1 - The institution has a stated Code of Ethics for research and the implementation of which is ensured through the following**

- 1. Inclusion of research ethics in the research methodology course work**
- 2. Presence of institutional Ethics committees (Animal, chemical, bio-ethics etc)**
- 3. Plagiarism check**
- 4. Research Advisory Committee**

C. Any 2 of the above

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**3.4.2 - The institution provides incentives to teachers who receive state, national and international recognitions/awards  
Commendation and monetary incentive at a**

C. Any 2 of the above

**University function Commendation and medal at a University function Certificate of honor Announcement in the Newsletter / website**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**3.4.3 - Number of Patents published/awarded during the year**
**3.4.3.1 - Total number of Patents published/awarded year wise during the year**

03

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**3.4.4 - Number of Ph.D's awarded per teacher during the year**
**3.4.4.1 - How many Ph.D's are awarded during the year**

142

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

**3.4.5 - Number of research papers per teacher in the Journals notified on UGC website during the year**

432

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**3.4.6 - Number of books and chapters in edited volumes published per teacher during the year**
**3.4.6.1 - Total number of books and chapters in edited volumes / books published, and papers in national/international conference-proceedings during the year**

74

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

**3.4.7 - E-content is developed by teachers For e-PG-Pathshala For CEC (Under Graduate) For SWAYAM For other MOOCs platform For NPTEL/NMEICT/any other Government Initiatives For Institutional LMS**

**E. None of the above**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**3.4.8 - Bibliometrics of the publications during the year based on average Citation Index in Scopus/ Web of Science/PubMed**

Scopus	Web of Science
2621	1968

File Description	Documents
Any additional information	<a href="#">View File</a>
Bibliometrics of the publications during the year	<a href="#">View File</a>

**3.4.9 - Bibliometrics of the publications during the year based on Scopus/ Web of Science – h-Index of the University**

Scopus	Web of Science
35	32

File Description	Documents
Bibliometrics of publications based on Scopus/ Web of Science - h-index of the Institution	<a href="#">View File</a>
Any additional information	<a href="#">View File</a>

### 3.5 - Consultancy

3.5.1 - Institution has a policy on consultancy including revenue sharing between the institution and the individual and encourages its faculty to undertake consultancy

The University frequently updates the research facilities time to time in Research Advisory Committee meetings and a decision has been made in a RAC meeting held on 25-11-2020 on consultancy including revenue sharing between the University and the faculty member to encourage the faculty to undertake consultancy. In the decision, the sharing of the Remuneration of consultancy was fixed to a ratio of 60:40 (Consultant: University) (Point 11).

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 3.5.2 - Revenue generated from consultancy and corporate training during the year (INR in Lakhs)

#### 3.5.2.1 - Total amount generated from consultancy and corporate training during the year (INR in lakhs)

00

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

### 3.6 - Extension Activities

3.6.1 - Extension activities in the neighbourhood community in terms of impact and sensitising students to social issues and holistic development during the year

Solid waste management campaign named "Nainital Mega Clean Up Event" has been initiated and successfully completed under a project Amritam organized by the Department of Chemistry, D. S. B. Campus, Nainital with the support of Nagarpalika, Nainital. This programme has sensitized the students and nearby people through various awareness programmes such as drawing, speech competitions, nukkad natak etc. Besides this, Home Science Department, D. S. B., Campus, Nainital has organized various social programmes and 300 students benefitted from these programmes.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 3.6.2 - Number of awards received by the Institution, its teachers and students from Government /Government recognised bodies in recognition of the extension activities carried out during the year

#### 3.6.2.1 - Total number of awards and recognition received for extension activities from Government / Government recognised bodies during the year

00

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

### 3.6.3 - Number of extension and outreach programs conducted by the institution including those through NSS/NCC/Red cross/YRC during the year(including Government initiated programs such as Swachh Bharat, Aids Awareness, Gender Issue, etc. and those organised in collaboration with industry, community and NGOs)

03

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

### 3.6.4 - Total number of students participating in extension activities listed at 3.6.3 above during the year

600

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

## 3.7 - Collaboration

### 3.7.1 - Number of collaborative activities with other institutions/ research establishment/industry for research and academic development of faculty and students during



the year

**3.7.1.1 - Total number of Collaborative activities with other institutions/ research establishment/industry for research and academic development of faculty and students during the year**

02

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**3.7.2 - Number of functional MoUs with institutions/ industries in India and abroad for internship, on-the-job training, project work, student / faculty exchange and collaborative research during the year**

01

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

## INFRASTRUCTURE AND LEARNING RESOURCES

### 4.1 - Physical Facilities

4.1.1 - The institution has adequate facilities for teaching - learning. viz., classrooms, laboratories, computing equipment, etc.

Kumaun University is equipped with adequate facilities for teaching-learning as evident from the audio-visual facilities available across various departments, computer laboratories, ICT-enabled teaching through Smart Classrooms, access to e-learning and open learning resources in the hostels, subscription of online and e-journals through UGC-Inflibnet consortium, Science Direct and Kluwer etc as per statutory guidelines.

Around 4500 e- journals provided by SHODH GANGA have already been subscribed to by the Central library and it has already initiated the process of digitization of University publications like Annual Reports, Magazines and Newsletters. Subscription of Web of Science citation database along with Emerging science index, Conference proceeding index-Science, Conference proceeding index-Social Science and book citation index has been initiated. There is a provision of

digitization of doctoral theses, institutional repository for the intellectual outputs and availability of online resource materials by ensuring the free access to E-resources through Inflibnet. The Central Library and Campus Libraries of Nainital and Bhimtal campuses have been largely digitalized.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

4.1.2 - The institution has adequate facilities for cultural activities, yoga, games (indoor, outdoor) and sports. (gymnasium, yoga centre, auditorium, etc.)

The campuses of Kumaun University have several multipurpose seminar / conference halls including: D.S.B. Campus, Nainital (Physics Seminar Hall-450 sq feet, Geology Seminar Hall-600 sq feet, New Arts Hall-1080 sq feet, Women Study Centre Hall-720 sq feet). These seminar halls are equipped with addressing systems, LCD projectors etc to organize various academic and cultural events. In addition, the mega-auditorium at the D.S.B. Campus, A.N. Singh Hall (12000sq feet area), is a majestic, spacious, smart auditorium with a seating capacity of 950 and equipped with all modern amenities, Bhimtal Campus Auditorium (1500 sq feet with seating capacity of 100) , HRDC Auditorium (1200 sq feet) accommodate the students gathering and organize events like convocation etc. These facilities provide an enviable dais to the speakers during the academic events and convocation ceremony, also to the students for showcasing their talent during various cultural and literary events. To mark the 150th anniversary of Mahatama Gandhi in 2019, Kumaun University established the Gandhi and Indian Diaspora Studies Centre to preserve his great legacy. The University set up 'Swami Vivekanand Peeth' in 2019 at the Hermitage, Sleepy Hollow, Nainital, to impart the ideas and thoughts of the great spiritual guru through various events and activities.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

4.1.3 - Availability of general campus facilities and overall ambience

Kumaun University is renowned for its exemplary quality of higher education, teaching-learning facilities and infrastructure. The University has a well-defined and systematically executed process for maintaining and utilizing physical, academic and support facilities viz. laboratory, library, sports complex, computers and

classrooms etc. The University ensures regular maintenance and upkeep of all infrastructural facilities with help of trained and experienced staff.

- ICT-enabled teaching through smart classrooms.
- The campuses of Kumaun University have several multipurpose seminar / conference halls including Physics Seminar Hall-450 sq feet, Geology Seminar Hall-600 sq feet, New Arts Hall-1080 sq feet, Women Study Centre Hall-720 sq feet).
- The facilities for physical education and sports activities include Gymnasium (01), Playground (01; 80x40m), Badminton Court (01; 20x44 feet), Basketball Court (01; 15x28 m) and Table Tennis Hall (01).
- The campuses of Kumaun University provide several facilities to the differently-able students, staff and visitors including ramps, wheelchairs, separate toilets etc. During examinations, writing helpers and other assistance is provided to the students.
- The security of the campuses is maintained by a dedicated team of security personnel who provide 24x7 services on rotation basis. With the help of CCTV cameras, regular surveillance is maintained.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

#### 4.1.4 - Total expenditure excluding salary for infrastructure augmentation during the year (INR in Lakhs)

9764000

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

## 4.2 - Library as a Learning Resource

4.2.1 - Library is automated using Integrated Library Management System (ILMS) and has digitisation facility

The Central Library of Kumaun University functions as an effective Knowledge Resource Centre (KRC). The main library operations

(acquisition, cataloging and circulation, etc) have been automated and the Online Public Access Catalogue (OPAC) is used. The library users have access to a large number of e-resources based on IP access module. The Digital Network platform (E-Granthlaya) is also being used. It has been using different LMS (Library Management Software) for automation i.e. CDS/ISIS, SOUL and ALICE for Windows since 2005. The Library has digitized 850 books published before the year 1950 under the C-DAC project. All hard bound theses prior to 2005 have been digitized by the library.

In the Central Library at Nainital UGC INFONET E-journals on different subjects have been made available. Subscription of Web of Science citation database along with Emerging Science index, Conference Proceeding Index-Science, Conference Proceeding Index-Social Science and Book Citation Index has been initiated.

The Library uses anti plagiarism web tool namely URKUND Plagiarism Checker to promote authentic and quality research works and check plagiarism in doctoral theses and research papers.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**4.2.2 - Institution has subscription for e-Library resources Library has regular subscription for the following: e – journals e-books e-ShodhSindhu Shodhganga Databases**

A. Any 4 or all of the above

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**4.2.3 - Annual expenditure for purchase of books/ e-books and subscription to journals/e-journals during the year (INR in Lakhs)**

**7429824**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**4.2.4 - Number of usage of library by teachers and students per day (foot falls and login data for online access)**

3750

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 4.3 - IT Infrastructure

#### 4.3.1 - Number of classrooms and seminar halls with ICT - enabled facilities such as LCD, smart board, Wi-Fi/LAN, audio video recording facilities during the year

44

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

#### 4.3.2 - Institution has an IT policy, makes appropriate budgetary provision and updates its IT facilities including Wi-Fi facility

##### Stakeholders are obligated to:

- Behave in accordance with Kumaun University's educational, research purposes.
- Not use the account for any commercial purposes.
- Take responsive steps to ensure that any computer used to access the resources, is secure and virus-free.
- Protect the confidentiality, security, integrity of all computer and data resources and take reasonable and appropriate steps to guard these resources from improper use.
- Refrain from activities that are unethical.
- Refrain from activities that interfere with the ability of others to use computer and data resources.

##### This obligation applies regardless of:

- Whether the computer used to access computer data is located in the central library or at any other places connected with OFC.
- The manner in which systematic data are stored or transmitted, including local file, stored file, file on removable media e.g. CD-ROM disk, pen drives, fax, printer, network, phone, e-mail or voicemail.
- Violators of this policy may be subject to disciplinary action

as per University rules and regulations, in the case of students, suspension or other actions as per prevailing laws of the University.

To ensure information security, responsibilities shall lie on:

- Director ICT
- Librarian, Central Library
- Information Officer, Central Library
- Deans of different faculties
- Head of Departments
- Registrar.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

#### 4.3.3 - Student - Computer ratio during the year

Number of students	Number of Computers available to students for academic purposes
3913	800

#### 4.3.4 - Available bandwidth of internet connection in the Institution (Leased line)

- 500 MBPS - 1 GBPS

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

#### 4.3.5 - Institution has the following Facilities for e-content development Media centre Audio visual centre Lecture Capturing System(LCS) Mixing equipment's and softwares for editing

E. None of the above

File Description	Documents
Upload relevant supporting document	No File Uploaded
Upload the data template	<a href="#">View File</a>

#### 4.4 - Maintenance of Campus Infrastructure

##### 4.4.1 - Total expenditure incurred on maintenance of physical facilities and academic support facilities excluding salary component during the year

15,34,96,000

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

4.4.2 - There are established systems and procedures for maintaining and utilizing physical, academic and support facilities - laboratory, library, sports complex, computers, classrooms etc.

Kumaun University has a well-defined and systematically executed process for maintaining and utilizing physical, academic and support facilities. There is an adequate strength of technicians, administrative office staff and lab assistants to assist in efficient functioning of campus departments, classrooms, laboratories, library, sports complex, administrative offices, buildings and ICT infrastructure. Kumaun University purchases computers, peripherals, equipment etc from reliable vendors and ensures that the IT hardware and software are maintained properly either through maintenance contracts or through its own personnel. A dedicated team of Technical Assistants and Lab Assistants provide support services relating to Scientific Laboratories.

The University has adequate staff for cleaning and supervising duties, skilled jobs like plumbing, electricity repairs and backup power system, repairing maintenance of equipment and utilities, and for horticulture work. The hostel accommodations are also supported by sufficient staff strength to take care of the needs of the resident students and for regular upkeep and maintenance of the hostel facilities. Cleaning of the academic and administrative buildings, libraries, roads, footpaths etc. is carried out daily by housekeeping staff under the supervision of University administration. The Engineering section headed by an AE supported by JE oversees periodic maintenance of all buildings and pathways.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

<b>STUDENT SUPPORT AND PROGRESSION</b>	
<b>5.1 - Student Support</b>	
<b>5.1.1 - Total number of students benefited by scholarships and free ships provided by the institution, Government and non-government agencies (NGOs) during the year (other than the students receiving scholarships under the government schemes for reserved categories)</b>	
0	
File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>
<b>5.1.2 - Total number of students benefited by career counselling and guidance for competitive examinations offered by the Institution during the year</b>	
0	
File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded
<b>5.1.3 - Following Capacity development and skills enhancement initiatives are taken by the institution Soft skills Language and communication skills Life skills (Yoga, physical fitness, health and hygiene) Awareness of trends in technology</b>	<b>D. Any 1of the above</b>
File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded
<b>5.1.4 - The Institution adopts the following for redressal of student grievances including sexual harassment and ragging cases Implementation of guidelines of statutory/regulatory bodies Organisation wide awareness and undertakings on policies with zero tolerance Mechanisms for submission of</b>	<b>• All of the above</b>



**online/offline students' grievances Timely redressal of the grievances through appropriate committees**

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

## 5.2 - Student Progression

**5.2.1 - Number of students qualifying in state/ national/ international level examinations during the year (eg:NET/SLET/GATE/GMAT/CAT/ GRE/TOEFL/Civil Services/State government examinations)**

**5.2.1.1 - Number of students who qualified in state/ national/ international examinations (e.g.: IIT-JAM/NET/SET/JRF/ GATE /GMAT /CAT/ GRE/ TOEFL/Civil Services/State government examinations) during the year**

11

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**5.2.2 - Total number of placement of outgoing students during the year**

27

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**5.2.3 - Number of recently graduated students who have progressed to higher education (previous graduating batch) during the year**

986

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

## 5.3 - Student Participation and Activities

### 5.3.1 - Number of awards/medals won by students for outstanding performance in sports/cultural activities at inter -university/state/national/international events (award for a team event should be counted as one) during the year

0

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

### 5.3.2 - Presence of Student Council and its activities for institutional development and student welfare

The Student Union election is an important feature of the campus life of the University and is implanted strictly in adherence to the Lyngdoh Commission guidelines. The University prioritize leadership qualities, organizational and management skills, republican values, sense of duty and awareness about rights and duties among its students.

The Student Union of each campus comprises of President, Vice President, Vice President (Female), General Secretary and Treasurer. The Student Council also includes elected representatives from the various Faculties of Arts, Science, Law, Commerce and Management.

The Student Unions actively contribute to various activities ranging from academic and cultural events, career counseling, talent hunt functions, sports activities, social outreach and extension programmes such as blood donation, tree plantation, cleanliness drive, HIV awareness, Annual Cultural Festival (Goonj / Maha Goonj) etc. but this year due to COVID no such activities were conducted.

The University has also ensured their representation in key functioning, decision-making and governance by involving the Student Union in the following administrative / academic bodies: Academic Council, Executive Council, Dean of Student Welfare (DSW) office, Internal Quality Assurance Cell (IQAC), Grievance Redressal and Anti-ragging Committee, University hostel management system, placement cells, technical clubs, organizing committees of various events and activities, university outreach programmes etc.

File Description	Documents
Upload relevant supporting document	No File Uploaded

**5.3.3 - Number of sports and cultural events / competitions organised by the institution during the year****19**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**5.4 - Alumni Engagement**

5.4.1 - The Alumni Association/Chapters (registered and functional) contributes significantly to the development of the institution through financial and other support services during the year

Kumaun University has an active Alumni Cell which nurtures strong bonds between alumni, students, faculty and other stakeholders. The Cell maintains an updated roster of all Alumni of Kumaun University. The University has created an online platform (Facebook page) for KU alumni.

The alumni have contributed significantly to their alma mater over the past five years in the form of sponsorships, scholarships and awards, giving invited talks, participating in various cultural and academic events, engaging in various student-driven activities such as clubs and committees as a jury member and facilitator, funding infrastructural facilities, books and other material, career counseling, acting as a link between industry and University departments for internship / apprenticeship / placement activities and often bringing industry stalwarts to the campus through their networks. They also provide valuable feedback and inputs towards enriching the course curriculum as members of the Board of Studies.

Internationalization is a key strategy for Kumaun University to develop and remain as one of the leading universities of the subcontinent. Our alumni are an integral part of this strategy. The focus is on integrating international perspectives, experiences and collaborations throughout the university to improve the quality and diversity of research, education and administration.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**5.4.2 - Alumni contribution during the year****E. <1Lakhs**

(INR in Lakhs)	
File Description	Documents
Upload relevant supporting document	No File Uploaded
<b>GOVERNANCE, LEADERSHIP AND MANAGEMENT</b>	
<b>6.1 - Institutional Vision and Leadership</b>	
6.1.1 - The institution has a clearly stated vision and mission which are reflected in its academic and administrative governance	
<p>Kumaun University has come a long way since its inception in 1973, maintaining the highest standards of efficiency, accountability and transparency in its functioning and governance. The University has created a fertile environment which ensures excellence in teaching, research and extension, while providing demand-driven, need-based, skill-enhancing and employment-generating academic courses, promoting multidisciplinary research and scholarship, emphasizing holistic personality development of its students, exploring opportunities for international collaboration, maintaining accountability, participatory management and value-based governance, promoting environmental sustainability and conservation through eco-friendly and energy-efficient best practices, addressing the needs of the local community and society at large. The active plans of Kumaun University are in alignment with the vision and mission statements. The students of Kumaun University are provided with high-impact value-based education, benchmarked against highest global standards and leading-edge research within and across disciplines. The University ensures good governance and leadership characterized by intellectual integrity, sustenance of ethical norms, student-centric, multidisciplinary, collaborative, and innovative environment and responsiveness to the community needs.</p>	
File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>
6.1.2 - The effective leadership is reflected in various institutional practices such as decentralization and participative management	
<p>Kumaun University ensures good governance and effective leadership which is done under various sections as follows</p> <ul style="list-style-type: none"> <li>Decentralization of administration and crucial matters by Campus Director and various committees at Campus level.</li> </ul>	

- The Controller of Examination looks after the entire examination process in Kumaun University campuses and affiliated colleges with the help of a dedicated team.
- Directorate of Research and Extension, Directorate of Information and Communication Technology, and Directorate of Placement and Counselling are functional in the University.
- The IQAC collects, compiles and edits information and data regarding University functioning to monitor and report quality to various regulatory and assessing bodies.
- Academic council and Executive council acts as an important decision making body.
- Student Union also participates in various administrative/academic bodies, placement cells, organizing committees of various events and activities, university outreach programmes etc.
- Funds allocation is monitored by Campus Director and the process is participative and transparent.
- BoS is carried out for curriculum designing, revision and update which involves all faculty members of a department alongwith external experts.
- Inspection of the affiliated colleges is done to ensure the fulfillment of the affiliation norms stipulated by the UGC and incorporated by the University's Regulations in terms of teaching faculty and infrastructure.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

## 6.2 - Strategy Development and Deployment

### 6.2.1 - The institutional Strategic plan is effectively deployed

Kumaun University formulates various strategic plans through several committees and governing bodies after intense brainstorming and deliberations, and ensures their effective implementation. These plans cover a wide spectrum including academic excellence, research and extension, national and international collaborations, transparency in governance, financial management, infrastructure development, holistic growth, sustainability etc.

The best institutional strategic plans implemented in the past five years include:

1. Digitizing the Libraries
2. Initiatives related to SWAYAM, MOOC courses

3. Research Projects, MOUs and Patent
4. Vast Improvement in Infrastructure
5. Online classes and other assistance during Corona pandemic
6. Educational reforms (CBCS Implementation)

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

6.2.2 - The functioning of the institutional bodies is effective and efficient as visible from policies, administrative setup, appointment and service rules, procedures, etc.

Kumaun University has a well-defined and efficient organizational hierarchy in sync with the vision and mission of the University. The Hon'ble Governor of Uttarakhand is the Chancellor of the University. The Vice Chancellor of the University is the Chief Administrative and Academic Officer of the University. The Registrar, Examination Controller and Finance Controller of the University assist the Vice Chancellor in administrative, examination and financial matters. Other persons in the services of the University as may be declared by the order of Vice Chancellor to be the Officers of the University. These include Deans, Proctors, Directors of IQAC, SRICC, DIC, Development and Planning, Innovation and Incubation Cell, Alumni Cell, etc. The University has duly constituted bodies Executive Council, Academic Council, Boards of Studies, Finance and other committees for decisions on major academic, administrative and financial matters. Vice

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

### 6.2.3 - Institution Implements e-governance in its areas of operations

**6.2.3.1 - e-governance is implemented covering following areas of operation**

1. Administration
2. Finance and Accounts
3. Student Admission and Support
4. Examination

**B. Any 3 of the above**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

### 6.3 - Faculty Empowerment Strategies

6.3.1 - The institution has a performance appraisal system, promotional avenues and effective welfare measures for teaching and non-teaching staff

Kumaun University follows the UGC guidelines regarding the pay, performance appraisal and promotion of teaching staff under Career Advancement Scheme (CAS). The performance of the contractual teaching staff is appraised at the time of appointment/renewal/extension of the contract.

Non-teaching staff is governed by Uttarakhand State Government rules in service and salary matters. Their appraisal is based on 'Annual Confidential Report' system.

Welfare Schemes for the employees are as follows:

- Access to medical dispensaries
- Bank and Post Office within the premises
- Pension Scheme as per State Government
- Maternity leave and Child Care Leave
- Paid Leave, Special Casual Leave, Medical leave, Half Pay Leave etc. to meet emergent situations
- Group Health Insurance Scheme
- Personnel Loans at concessional interest rates
- Fee concession for employees' ward
- Accommodation
- Grievance redressal mechanism (ICC/ PM Portal )

File Description	Documents
Upload relevant supporting document	No File Uploaded

6.3.2 - Total number of teachers provided with financial support to attend conferences / workshops and towards membership fee of professional bodies during the year

00

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

### 6.3.3 - Number of professional development / administrative training Programmes organized by the institution for teaching and non-teaching staff during the year

17

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

### 6.3.4 - Total number of teachers undergoing online/ face-to-face Faculty Development Programmes (FDP) during the year (Professional Development Programmes, Orientation / Induction Programmes Refresher Course, Short Term Course)

13

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

## 6.4 - Financial Management and Resource Mobilization

### 6.4.1 - Institutional strategies for mobilisation of funds and the optimal utilisation of resources

Financial assistance has been provided by the UGC form of General Development Assistance by UGC (XIIth Plan period and its extension) and the Government of Uttarakhand in the form of regular annual financial grants. Kumaun University puts special emphasis on the self-sustainability model to generate the required funds. funds are also generated through tuition, academic and examination fee collected from the students studying in various professional and traditional courses.

The University prepares the proposal and places demands for funds from the State Government, Central Government (under RUSA) for necessary infrastructures facilities. The faculty also mobilizes funds by undertaking several major and minor sponsored research projects from national and state-funded research institutes (CSIR-



CMAP, CSIR- CDRI, DST-WIHG, CSIR-NGRI, CSIR-CCMB, state government, Central government, RUSA, UGC, DST, SGRG, DSn, U-COST, ISRO, Bangalore, ICSSR, New Delhi, DBT, New Delhi, USBD, Dehradun, MOEF, New Delhi. One joint patent (with Scientists of the CCMB Hyderabad and BHU Varanasi) has been filed and received by the faculty of department of Biotechnology in "Association between Neopterin Concentration and Neurovascular changes in Type-2 Diabetes Patients- Effects of an Ayurvedic Formulation Mainly Containing Berberisaristata".

File Description	Documents
Upload relevant supporting document	No File Uploaded

#### 6.4.2 - Funds / Grants received from government bodies during the year for development and maintenance of infrastructure (not covered under Criteria III and V) (INR in Lakhs)

1,83,00,000

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

#### 6.4.3 - Funds / Grants received from non-government bodies, individuals, philanthropists during the year for development and maintenance of infrastructure (not covered under Criteria III and V)(INR in Lakhs)

275000

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	<a href="#">View File</a>

#### 6.4.4 - Institution conducts internal and external financial audits regularly

The University expenditures are made in an economical and transparent manner in conformity with the prescribed rules and the University's balance sheets and income-expenditure statements are

well-defined and credible. The income and expenditure of the University are subjected to the regular audits both internal and external. Omissions and commissions pointed out by the audit team are immediately corrected / rectified and precautionary steps are taken to avoid recurrence of such errors in future. The regulatory bodies appreciate the University efforts for systematically and accurately providing Utilization Certificates and other documents in a timely manner. In Kumaun University, the pre-audit and post-audit checks are exercised by the Internal Audit Cell. The post of Internal Auditor is a post sanctioned by the State Government. The process of internal audit is thus a continuous one and greatly enhances financial efficiency.

The External Audits are carried out regularly by both the Office of Accountant General(Dehradun, Uttarakhand) and the Directorate of Audits (State Government, Dehradun, Uttarakhand). Audited annual accounts and audit reports are also placed before the Finance Committee and Executive Council of the University. The Financial Accounts up to the Year 2020-21 have been externally audited.

File Description	Documents
Upload relevant supporting document	No File Uploaded

## 6.5 - Internal Quality Assurance System

6.5.1 - Internal Quality Assurance Cell (IQAC) has contributed significantly for institutionalizing the quality assurance strategies and processes by constantly reviewing the teaching learning process, structures & methodologies of operations and learning outcomes at periodic intervals

Internal Quality Assurance Cell (IQAC) suggests a series of measures on quality parameters for the improvement of academic and administrative activities in the University.

- The IQAC has undertaken substantial efforts for streamlining information management for improving the University's ranking, rating and accreditation resulting in timely submission of the requisite data to AISHE, QS, NIRF and THE with increased accuracy and integrity. The University has been accredited by National Assessment and Accreditation Council (NAAC) with a prestigious 'A' grade, an ISO 9001:2008 certified institution, Pharmacy College of Kumaun University ranked 75th in the National Institutional Ranking Framework (NIRF) 2020, ranked 66th in QS India ranking and 301 in BRICS University ranking.
- Feedback from various stakeholders: The teaching departments of Kumaun University invite and review feedback from various

stakeholders (students, alumni, parents, industry) as recommended by IQAC

- POs, PSOs and COs formulated, reviewed and implemented by various faculties as recommended by the IQAC
- Automation/Online Mode of examinations and admission process
- Augmenting and fostering Research Projects, MOUs and Patents
- Digitizing the Libraries
- Placement Cell operational
- Educational reforms (CBCS Implementation and initiatives to SWAYAM, MOOC courses and INSPIRE science camps
- Alumni Cell strengthening
- Appointments, appraisal and promotion as per UGC norms

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**6.5.2 - Institution has adopted the following for Quality assurance Academic Administrative Audit (AAA) and follow up action taken Confernces, Seminars, Workshops on quality conducted Collaborative quality initiatives with other institution(s) Orientation programme on quality issues for teachers and studens Participation in NIRF Any other quality audit recognized by state, national or international agencies (ISO Certification, NBA)**

**A. Any 5 or all of the above**

File Description	Documents
Upload the data template	<a href="#">View File</a>
Upload relevant supporting document	No File Uploaded

**6.5.3 - Incremental improvements made for the preceding during the year with regard to quality (in case of first cycle) Post accreditation quality initiatives(second and subsequent cycles)**

#### **Quality Enhancement Initiatives**

**Digitizing the Libraries**

**Initiatives related to SWAYAM, MOOC courses**

**Research Projects, MOUs and Patent: The ongoing research programmes in various faculties of the University are sponsored by DST, CSIR,**

DBT, NMSHE, MOEF, DST-Women Scientists, INSPIRE, MOES, DST&MOES Programs on Himalayan Seismicity, DST-SERB, UGC, ICSSR, ICHR, ICSSR, RUSA, ICFRE (Dehradun), UNNAAT BHARAT ABHIYAN, HUMBOLDT (Germany), DAAD (Germany), FULLBRIGHT (USA), Nano Technology, ICIMOD (Kathmandu), MIT (USA), JSPS etc.

Vast Improvement in Infrastructure

Online classes and other assistance during Corona pandemic

Educational reforms (CBCS Implementation

Automation/Online Mode of examinations and admission process

Appraisal and promotion as per UGC norms

Alumni Cell strengthened Placement Cell

Feedback from various stakeholders

Improved ranking and visibility:

- NAAC) 'A' grade
- ISO 9001:2008 certified
- first ever QS India ranking 2019 under QS world University ranking 2019
- 66th in QS India ranking
- 301 in BRICS University ranking
- 25th in India Today University ranking 2019.
- 15th Rank in the North Indian Universities (WEEK Magazine)
- Received Education Excellence Award by Berkshire Media, USA.
- Permanent member of Himalayan Consortium of ICIMOD, Kathmandu
- 75th in the National Institutional Ranking Framework (NIRF) 2020 in Pharmacy.

Green initiatives:

- tree plantation activities,
- installation of rain harvesting tank,
- reducing paper usage,
- periodic cleanliness drive.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

## INSTITUTIONAL VALUES AND BEST PRACTICES

### 7.1 - Institutional Values and Social Responsibilities

#### 7.1.1 - Measures initiated by the Institution for the promotion of gender equity during the year

Kumaun university also focusses on gender equality issues along with academic development of students.

- Women's Study Centre has been established since 2011 and was revamped in 2017 which workstoward empowerment of women and social justice to them.
- A week-long workshop on gender sensitization was conducted in 2020 by the UGC-HRDC at Kumaun University.
- Afully functional Women Empowerment and Anti-atrocity Cell, known as ICC-Women (Internal Complaints Committee(ICC) - Women)for handling complaints and grievances of female employees and students.
- To ensuraa safe and secure environment for the female students, CCTV cameras covering all strategic locations have been equiped across all campuses and hostels.
- The Department of Sociology has introduced apaper on "Gender and Society" in the PG course.
- Functional dispensaries for medical emergency.
- Common rooms for female students to enable them to meet, socialize and hold small events and develop a sense of belongingness and unity.
- Counselling is also provided to the students by faculty memebers at admission level regarding academic growth and other relevant issues.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>
Annual gender sensitization action plan(s)	<a href="https://hrdcnainital.ac.in/schedules/">https://hrdcnainital.ac.in/schedules/</a>
Specific facilities provided for women in terms of: a. Safety and security b. Counseling c. Common rooms d. Daycare Centre e. Any other relevant information	<a href="https://www.kunainital.ac.in/internal-complaints-committee-women.php">https://www.kunainital.ac.in/internal-complaints-committee-women.php</a>

**7.1.2 - The Institution has facilities for alternate sources of energy and energy conservation Solar energy Biogas plant Wheeling to the Grid Sensor-based energy conservation Use of LED bulbs/ power-efficient equipment**

**B. Any 3 of the above**

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**7.1.3 - Describe the facilities in the Institution for the management of the following types of degradable and non-degradable waste (within 200 words) Solid waste management Liquid waste management Biomedical waste management E-waste management Waste recycling system Hazardous chemicals and radioactive waste management**

**Kumaun University accords great importance to the preservation and replenishment of the environment in and around the campus by adopting various measures for environmental protection, management of degradable and non-degradable waste and inculcating the spirit of green campus among all stakeholders, namely, students, faculty, and staff.**

- Solid waste management is executed by segregating biodegradable and nonbiodegradable waste.
- Rain-water harvesting tank and vermi-compost pits have been installed in the campuses.
- A Sewage Treatment Plant is installed in the campus and the treated water is used for gardening and flushing.
- Biological waste produced in various science departments is properly disposed as per the norms of the Naini Lake Development Authority.

- Wastage of drinking water is restricted through proper monitoring and drainout to garden area to maintain the greenery in the campus.
- The University ensures that the e-waste is minimized by maximum use of emails and website for circulating all official documents.
- The students of University regularly conduct awareness workshop for generating awareness regarding waste minimization and recycling.
- The University has started 'Nano Science and Nano-Technology' Centre where one of thrust areas is to make use of Nano hydrocarbons in production of solar energy cells.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**7.1.4 - Water conservation facilities available in the Institution: Rain water harvesting Bore well /Open well recharge Construction of tanks and bunds Waste water recycling Maintenance of water bodies and distribution system in the campus**

C. Any 2 of the above

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**7.1.5 - Green campus initiatives include**

**7.1.5.1 - The institutional initiatives for greening the campus are as follows:**

1. Restricted entry of automobiles
2. Use of bicycles/ Battery-powered vehicles
3. Pedestrian-friendly pathways
4. Ban on use of plastic
5. Landscaping

B. Any 3 of the above

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**7.1.6 - Quality audits on environment and energy are regularly undertaken by the institution**

<p><b>7.1.6.1 - The institution's initiatives to preserve and improve the environment and harness energy are confirmed through the following:</b></p> <ol style="list-style-type: none"> <li>1. Green audit</li> <li>2. Energy audit</li> <li>3. Environment audit</li> <li>4. Clean and green campus recognitions/awards</li> <li>5. Beyond the campus environmental promotional activities</li> </ol>	<p><b>C. Any 2 of the above</b></p>
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File Description	Documents
Upload relevant supporting document	<b>No File Uploaded</b>

<p><b>7.1.7 - The Institution has a disabled-friendly and barrier-free environment Ramps/lifts for easy access to classrooms and centres. Disabled-friendly washrooms Signage including tactile path lights, display boards and signposts Assistive technology and facilities for persons with disabilities: accessible website, screen-reading software, mechanized equipment, etc. Provision for enquiry and information: Human assistance, reader, scribe, soft copies of reading materials, screen reading, etc.</b></p>	<p><b>B. Any 3 of the above</b></p>
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File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

**7.1.8 - Describe the Institutional efforts/initiatives in providing an inclusive environment i.e. tolerance and harmony towards cultural, regional, linguistic, communal, socio-economic and other diversities (within a maximum of 200 words)**

- **Unity in diversity can be seen as students hail from various parts of India and despite they reside harmoniously in different hostels of the University, showcasing true flavors of national and social integrity and ethnicity.**
- **The mode of teaching is bilingual to reach out to the needs of the students and increase employability factor.**
- **National festivals are celebrated with full jesture for integrating growth and overall personality development.**



- The course curriculum offers subjects imparting knowledge about local culture, history, traditions, art and heritage, political events, social and economic problems of the people of Uttarakhand.
- University provides a fertile environment ensuring excellence in academics as well as research by promoting multidisciplinary research and scholarship through international collaborations etc.
- Value education is imparted through several unique courses such as Yoga, Sanskrit, Home Science, Psychology, Physical Education, Sociology, Indian Cultural Heritage and History.
- Gandhi and Indian Diaspora Studies Centre and Swami Vivekanand Peeth' has been established in 2019 to preserve great legacy and to promote the study of their life and philosophy and thoughts.
- Kumaun University's rich tradition of promoting academic and cultural activities is also carried out by the 'Mahadevi Verma Srijan Peeth'.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

7.1.9 - Sensitization of students and employees of the institution to constitutional obligations: values, rights, duties and responsibilities of citizens:

- Celebration of Constitution Day on 26th November highlighting the importance and greatness of the Constitution of India.
- Free legal aid and counselling centre duly approved by the Bar Council in the Faculty of Law at S.S.J. Campus, Almora and Dr Rajendra Prasad Law Institute, Kumaun University, Nainital provides free counselling to the poor and needy persons, protecting their right to constitutional remedies by increasing their awareness about the judicial system.
- The faculty also ensures its representation in the State Legal Services Authority (SLSA) and District Legal Services Authority (DLSA).
- State level Moot Court Competition and Debate competition on the topic Free Legal Aid in association with State Legal Services Authority (SLSA), Nainital under the supervision of DLSA, Nainital.
- The students of B.A.LL. B (Hons) programme (Para Legal Volunteers) sensitize the villagers, adolescent girls, local masses about their basic rights and the mechanism of Lok Adalat and Free Legal Aid.
- The course curriculum at UG and PG levels under different

departments such as Political Science, Journalism and Mass Communication and Law offer several subjects which aim at increasing the awareness, knowledge and sensibility of the students towards their rights, duties and responsibilities as the citizens of India.

**7.1.10 - The Institution has a prescribed code of conduct for students, teachers, administrators and other staff and conducts periodic programmes in this regard. The Code of Conduct is displayed on the website There is a committee to monitor adherence to the Code of Conduct Institution organizes professional ethics programmes for students, teachers, administrators and other staff Annual awareness programmes on Code of Conduct are organized**

Any 2 of the above

File Description	Documents
Upload relevant supporting document	No File Uploaded

**7.1.11 - Institution celebrates / organizes national and international commemorative days, events and festivals**

- The diverse student profile of University having socioeconomic diversity, coexist harmoniously in a conducive learning environment thereby respecting and learning true flavors of national and social integrity and ethnicity. The University celebrates national festivals like Republic Day, Independence Day, Shaheed Diwas, Sanskrit Diwas, Geeta Jayanti and Gandhi Jayanti with full fervour.
- On the occasion of Gandhi Jayanti various events are organized including seminars to discuss and promote Gandhian philosophy, Swachata Abhiyan and other extension activities undertaken by the NSS and NCC cadets with extensive participation from teachers, staff members and students.
- Teachers' Day is celebrated at the Departmental level and the students from different departments organize various activities to pay respects to their teachers and commemorate Dr. S. Radhakrishnan.
- Shaheed Diwas, International Women's Day, Yoga Diwas, Hindi Diwas, World's Environment Day, Human Rights Day, and World Tourism Day are some of the other festivals which are celebrated by organizing various activities and events.
- Independence Day and Republic Day are celebrated with great

enthusiasm that begins with flag hoisting ceremony followed by motivational speeches by the Hon. Vice Chancellor.

- Birth and death anniversaries of great Indian personalities are also celebrated.
- Students and research scholars participate in various events organized at university level.

File Description	Documents
Upload relevant supporting document	<a href="#">View File</a>

## 7.2 - Best Practices

7.2.1 - Describe one best practice successfully implemented by the Institution as per NAAC format provided in the Manual

**Title of the Practice: Ease of access to learning resources and social outreach through education**

- The University's central and campus libraries are digitized and subscribed to around e-journals provided by SHODH GANGA.
- The library has organized several awareness programmes for students in each semester on effective use of e-library resources.
- Reverse migration during COVID-19 pandemic appeared as a major challenge so to handle the situation efficiently efforts are being made to convert this challenge into an opportunity by a social outreach initiative, SAMPARK, an online capacity building and skill enhancement program.
- Variety of short-term courses were offered in the first phase of this pilot project. The course duration varied from 2, 3, 5 and 7 days.
- The program was launched on 7th June 2020 and within a span of almost a month, more than 9,300 participants registered for various courses offered by more than 23 departments across all the campuses in the University. All the participants who successfully completed the course were given a digital certificate of participation and successful completion of the course.

## 7.3 - Institutional Distinctiveness

7.3.1 - Highlight the performance of the institution in an area distinct to its priority and thrust (within a maximum of 200 words)

- Kumaun University always work to preserve the sanctity of the pristine, clean environment and follow a strict "No Smoking"

policy, and aims to reduce waste as well as recycling.

- Use of smart and sustainable ways of waste disposal .
- initiatives to mobilize funds needed for the academic growth and infrastructural expansion.
- The research work are sponsored by DST, CSIR, DBT, NMSHE, MOEF, DST-Women Scientists, INSPIRE, DST& MOES Programs on Himalayan Seismicity, DST-SERB, UGC, ICSSR, ICHR, ICSSR, RUSA, ICFRE (Dehradun), UNNAAT BHARAT ABHIYAN, HUMBOLDT (Germany), DAAD (Germany), FULLBRIGHT (USA), Nano Technology, ICIMOD (Kathmandu), MIT (USA), JSPS etc.
- 'Nano Science and Nano-Technology' Centre is an emerging thrust area involved in use of Nano hydrocarbons to produce solar energy cells and working on converting waste plastic into Graphene to maintenance of ecological balance in the Himalayan region and the entire world.
- Numerous patents and collaboration and MoUs filed and signed by the University indicate the great priority to high quality research given by the University.
- The University has been accredited by National Assessment and Accreditation Council (NAAC) with a prestigious 'A' grade and many such recognitions
- University is a permanent member of Himalayan Consortium of ICIMOD, Kathmandu, along with 65 prestigious global universities.

#### 7.3.2 - Plan of action for the next academic year

- To ensure the implementation of New Education Policy (NEP) by 2022-2023.
- To implement UGC Quality mandates
- To enhance the working of ERP cell.
- To promote activities related to environmental protection and waste management system.
- To focus more on the issues of societal relevance.